



SCIP Database Notifications

How to prepare and submit a SCIP notification





Version	Changes	Date
1.0	Initial version	17 Feb 2020
1.1	Typographical error	20 Feb 2020
2.0	New features on IUCLID (Advance search, new article category and mixture category selection, creation of complex object components by referencing) for the preparation of the Dossier. New features on the Submission report.	13 July 2020
2.1	New functionalities to allow to refer to SCIP data already submitted to ECHA.	24 August 2020
3.0	New features on IUCLID (Advance search in ref.substances, inbound references, export and delete in bulk). SCIP database open for submitting notifications to comply with the legal obligations. Changes on the submission report.	28 Oct 2021





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Introduction







SCIP is the database for information on **S**ubstances of **C**oncern **I**n articles as such or in complex objects (**P**roducts) established under the Waste Framework Directive (WFD).

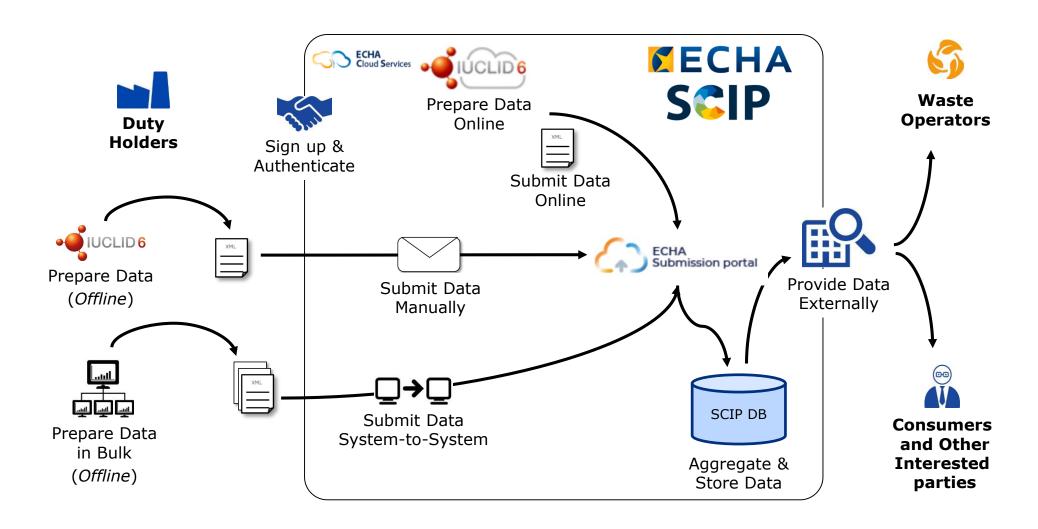
The SCIP database ensures that the information about the presence of SVHCs is available throughout the whole life cycle of products and materials, including at the waste stage.

https://echa.europa.eu/scip-database





SCIP Database: Information Flow

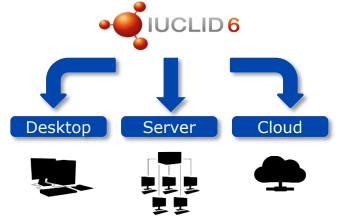






Overview of the SCIP Notification Process















SCIP Database: Tools



In order to have access to ECHA IT tools, namely ECHA Cloud Services and ECHA Submission Portal, an ECHA Account must first be created.

Dossier Preparation



SCIP Notifications are submitted to ECHA by using IUCLID Dossiers (i6z files). IUCLID is the International Uniform Chemical Information Database maintained by ECHA and the OECD and includes a user interface to prepare and create SCIP Notifications.

Dossier Submission



The ECHA Submission Portal (ECHA Cloud Service) is an online tool to submit SCIP Notification dossiers according to the harmonised IUCLID format.

Dossier Preparation & Submission

System-to-System
Service

Companies can create SCIP Notification dossiers, on the harmonised format, in their own systems and submit their notifications to ECHA Submission Portal, via an automatic S2S transfer.





Getting Access

This chapter describes the process to access the ECHA Cloud Services, how to create an ECHA Account and how to link the Legal Entity Information in order to use the tools for the Preparation and Submission of SCIP Notifications.

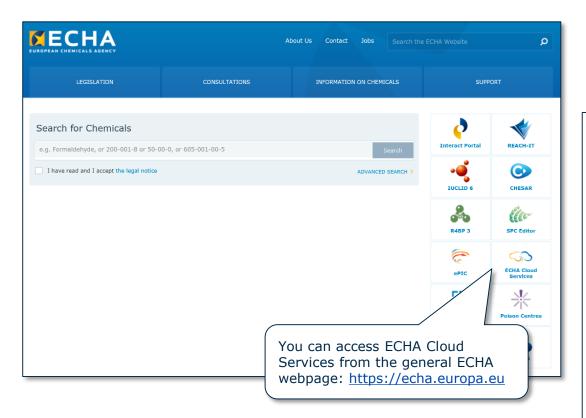




You can access ECHA Cloud Services

from the SCIP webpage:

Accessing ECHA Cloud Services

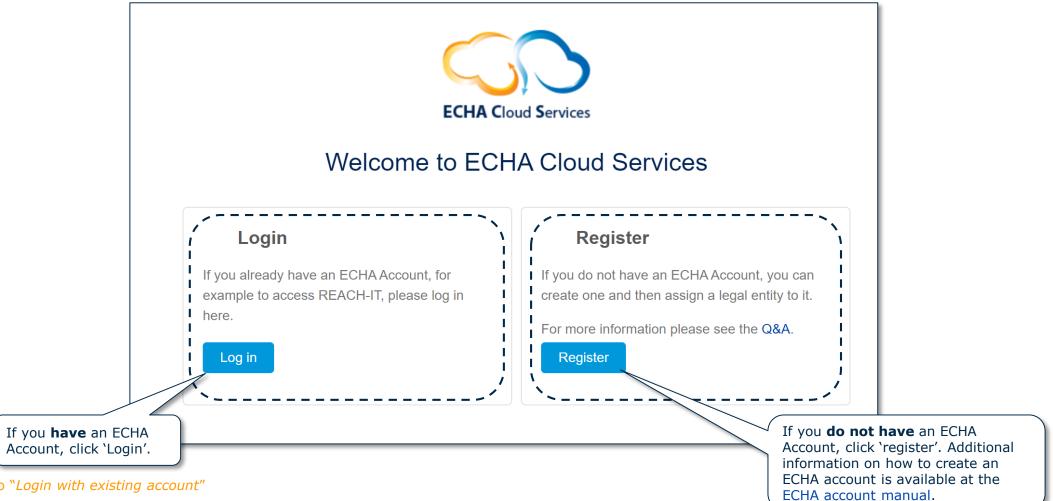








Welcome page (https://ecs.echa.europa.eu/cloud/home.html)



Go to "Login with existing account"

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Defining the user roles

The User Roles define the user access level within the ECHA IT applications. In the SCIP context the user roles needed are the following

- **LE Manager** → can view and edit the LE Account and add, remove or edit user's data and contacts.
- Submission Portal Manager -> can submit SCIP Notifications through ECHA Submission Portal.
- IUCLID Full Access -> can prepare SCIP Notifications online in IUCLID Cloud.
- 'Reader' and 'Read' Roles → these roles are entitled to 'read only' access.





Login with existing account

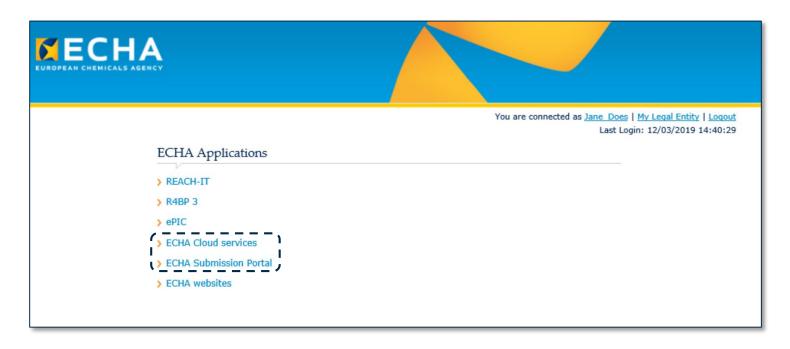


Additional information on ECHA accounts is available at the ECHA account manual.





Accessing ECHA Applications for the first time



First time users will be required to accept the 'Terms & Conditions' of each application before being able to proceed.

To read the full 'Terms & Conditions', click on the respective link.





Dossier preparation for SCIP notifications

This chapter describes the different ways to prepare a SCIP Notification, and explains how to start preparing a SCIP Notification dossier in IUCLID .



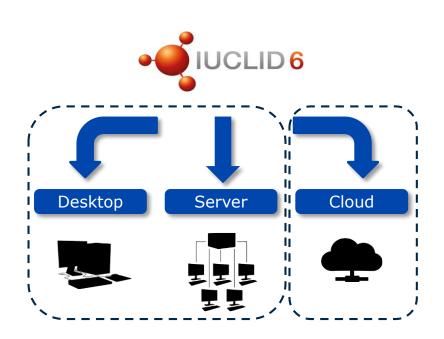


Ways to prepare a SCIP notification

There are three ways to prepare SCIP notifications:

- 1. Preparing your Notification online in **IUCLID Cloud**ECHA Cloud services provide a web-based access to IUCLID Cloud instance for preparing SCIP Notifications.
- **2.** Preparing your Notification in **IUCLID 6 (Server & Desktop)**The SCIP Notification can also be prepared 'offline' in the company's own IT infrastructure. You can download IUCLID and access to more information on <u>IUCLID 6 Website</u>.
- **3.** Preparing your Notification for using **System-to-System** Submission

Companies can create SCIP Notification dossiers according to the harmonised format and submit them to the ECHA Submission Portal, using S2S.

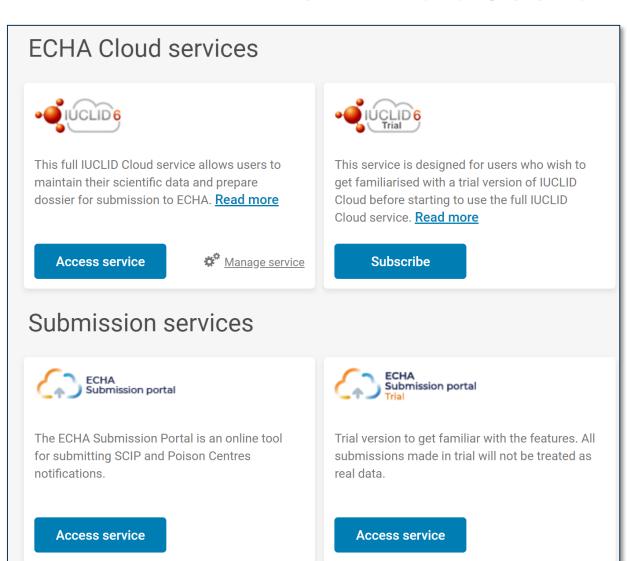






Access IUCLID Cloud Online dossier creation





Once you have successfully logged into the system, the **ECHA Cloud Services** Dashboard page opens.

The **IUCLID Cloud** service allows for the online preparation of a SCIP Notification Dossier, while the **IUCLID Cloud Trial** service is used only for training purposes to get more familiar with the tool.

Note that only LE Managers have the rights to subscribe to a service, for other users, this functionality will not be visible.

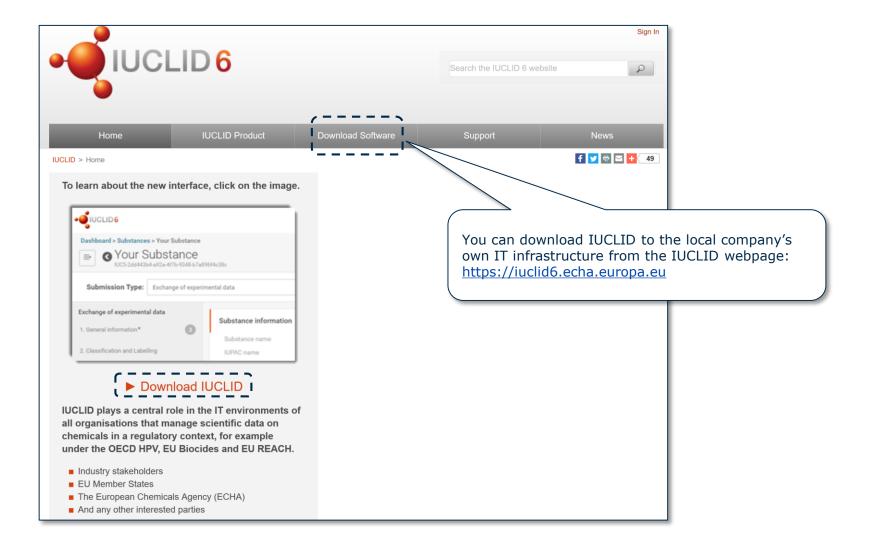
To access the service, you first need to Subscribe.

From the ECHA Cloud service you can also access to the ECHA Submission portal, the tool created to submit SCIP notifications (more information on chapter "Submit a SCIP notification"





Download IUCLID 6 - 'offline' dossier creation







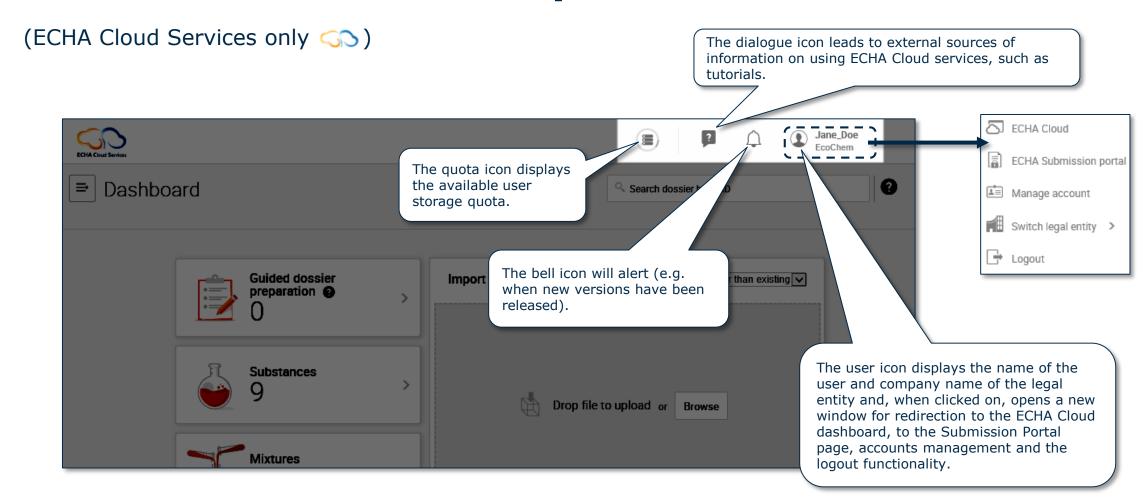
The IUCLID dashboard for dossier preparation







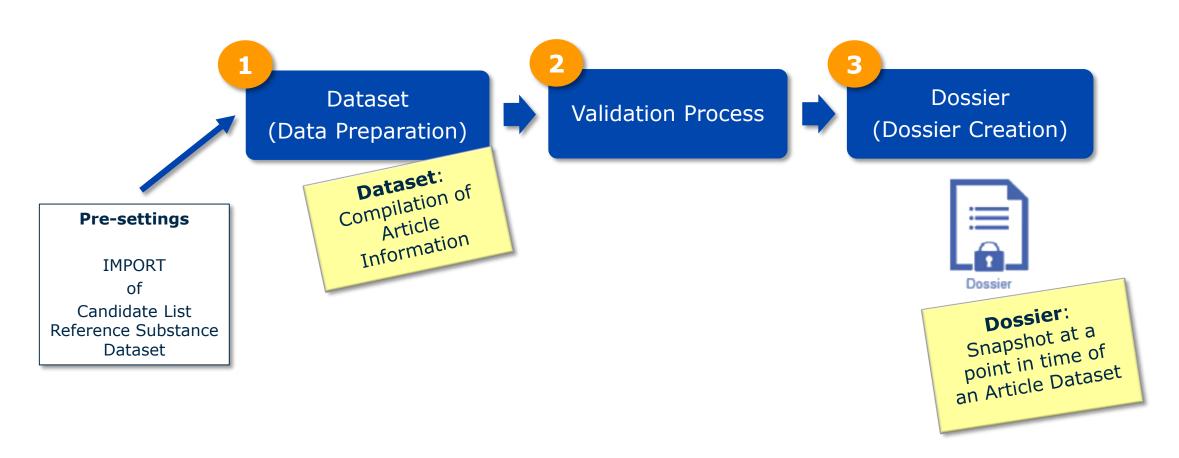
The dashboard top user bar







Dossier preparation overview (IUCLID)

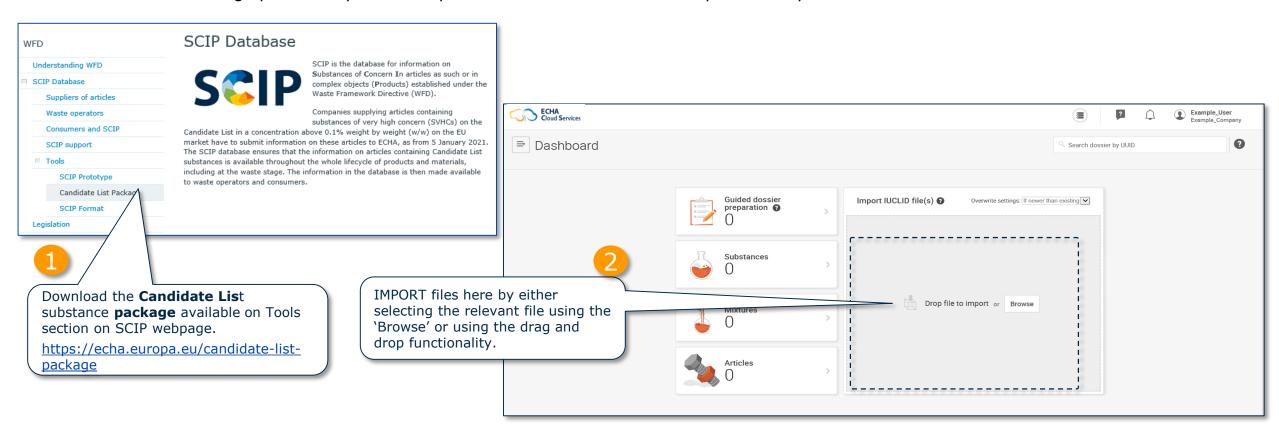






Candidate List Reference Substance IMPORT

The information on Candidate List substances is provided in SCIP Notifications by using a IUCLID reference substance entity. A reference substance can be created in IUCLID, but we recommend you, as a SCIP Notification submitter, to use the Candidate List Reference Substances Package provided by ECHA for your SCIP Notifications and to import it into your own IUCLID instances.

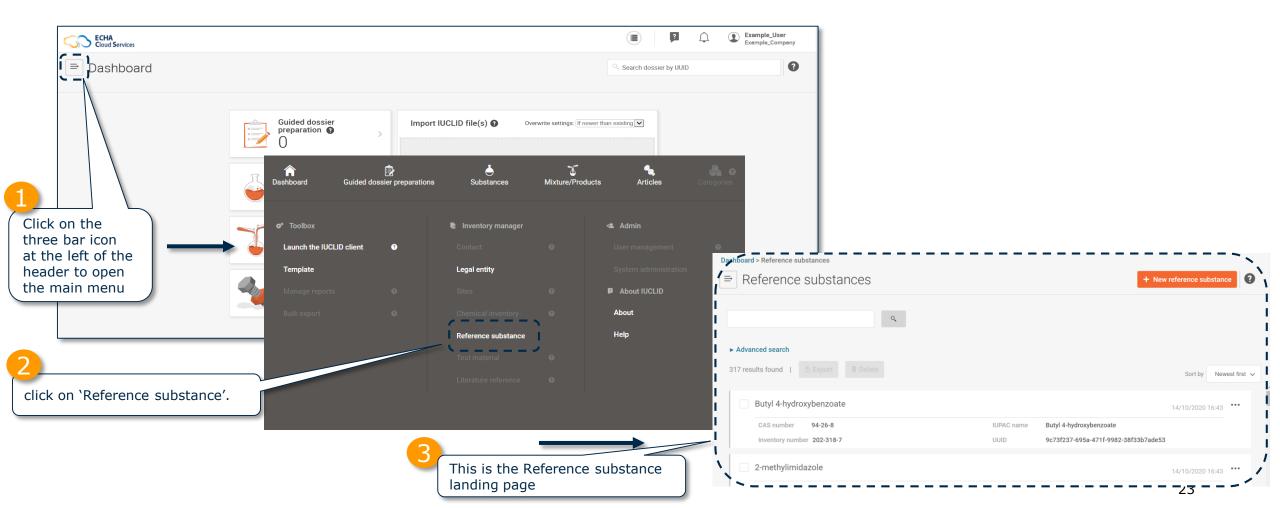






Candidate List reference substance

The reference substances included in your IUCLID instance can be reviewed following the next steps:



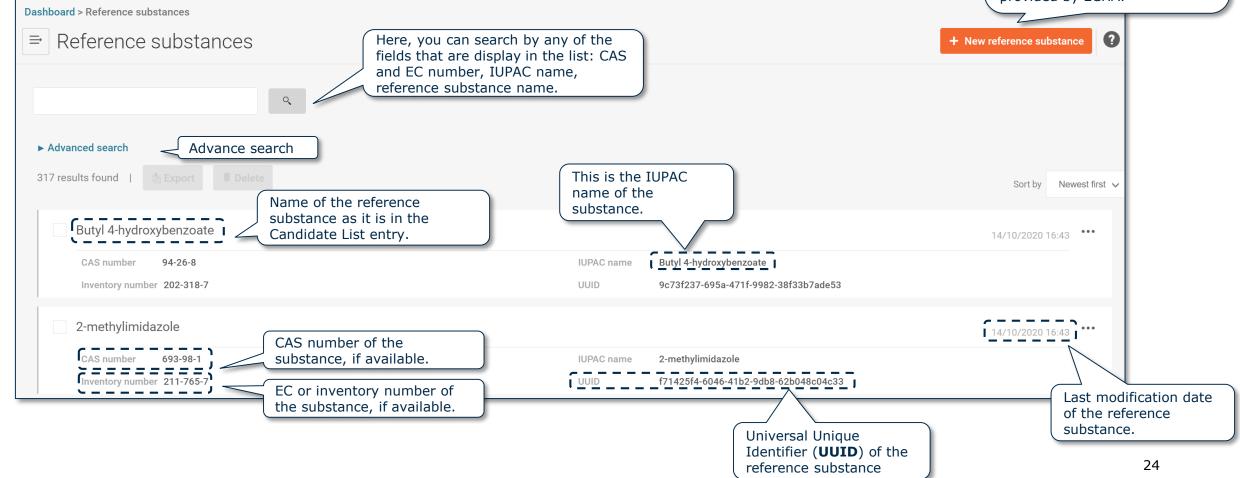




Reference substance landing page

Reference substance Page which contains a list of all the reference substances that have been created or imported.

Here, you can create a new reference substance. In the SCIP context we recommend to use the Candidate List reference substances package provided by ECHA.

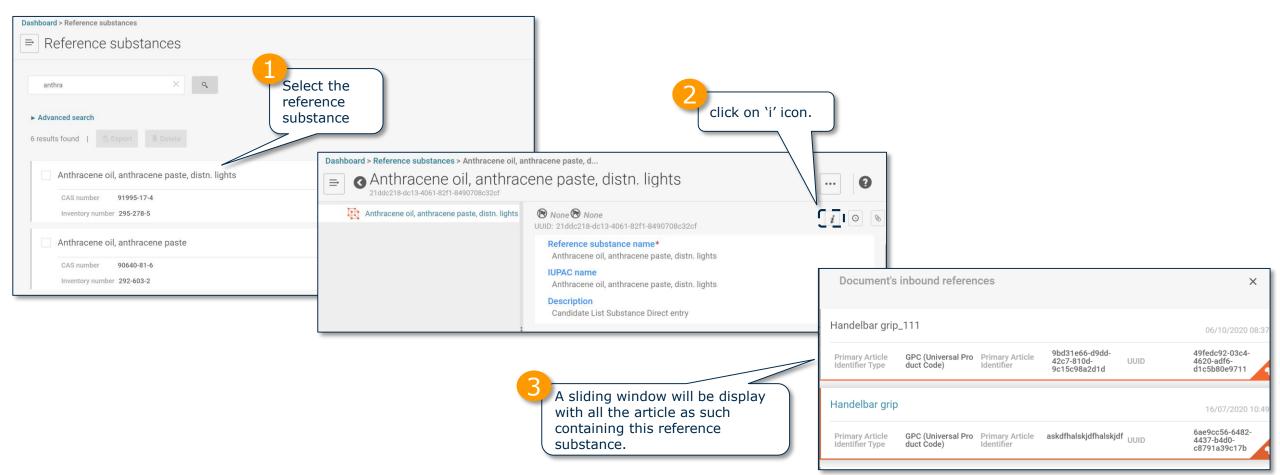






Document's inbound reference

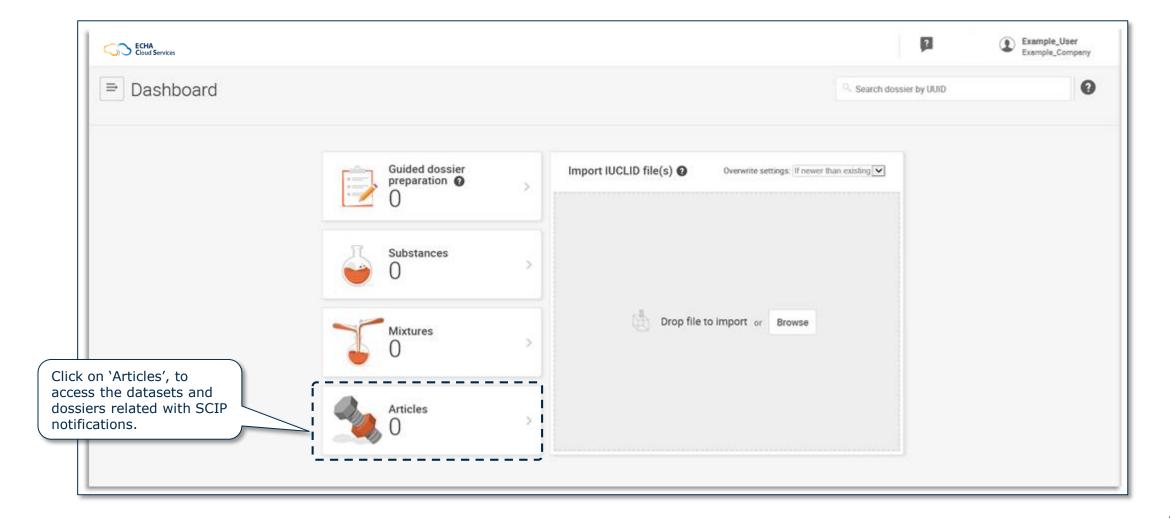
In case you need to know what are the article as such that include a specific substance you can use the inbound reference feature







Go to Article Landing Page

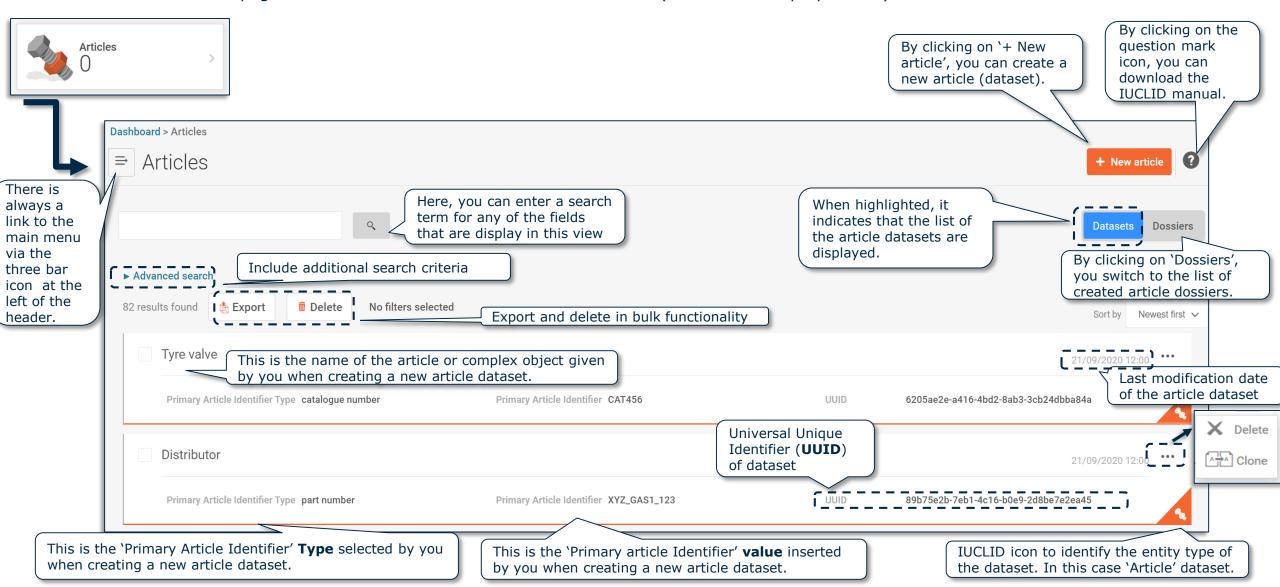




Article landing page (datasets)



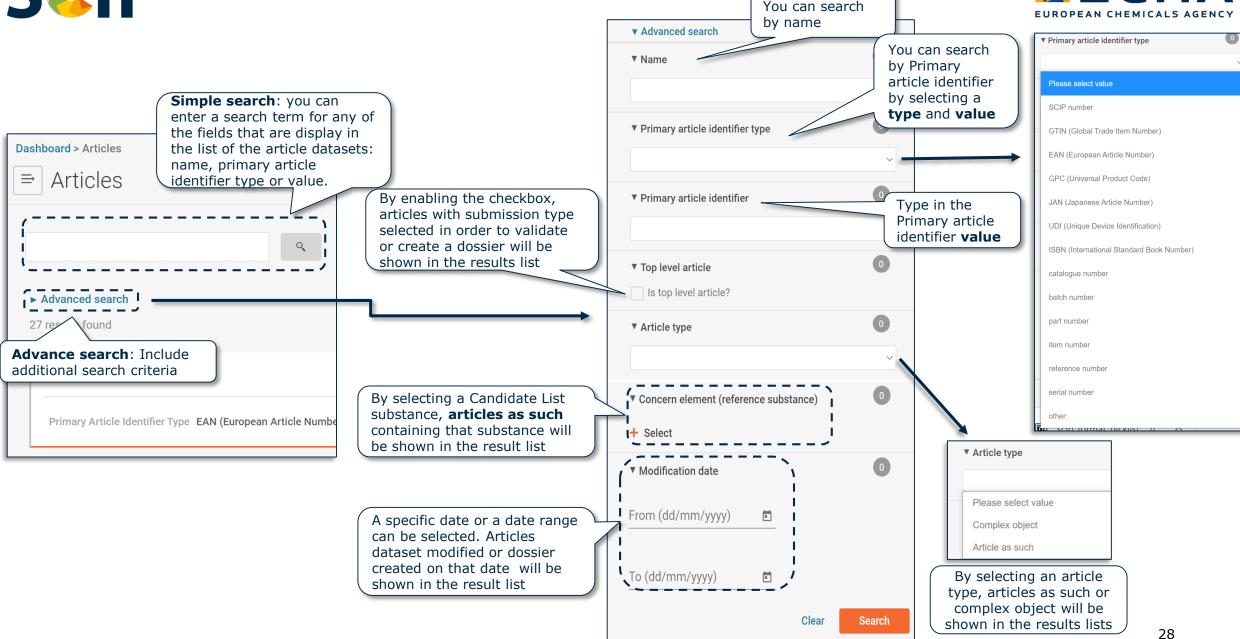
This is the Articles page which contains a list of all the article datasets (dossiers under preparation) that have been created.





DATASET: Advance search (I)







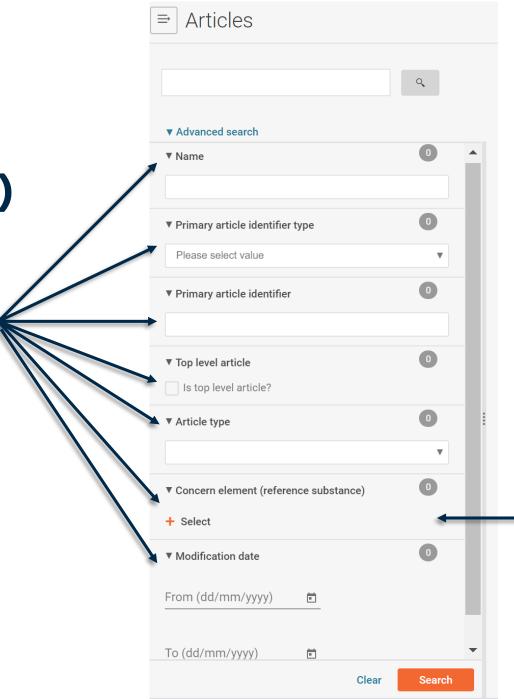
DATASET:

Advance search (II)

Logic search: "AND"

Searching for datasets that contains X and Y.

When the user use more than one filter from different sections the system will provide datasets that match with all the criteria selected.





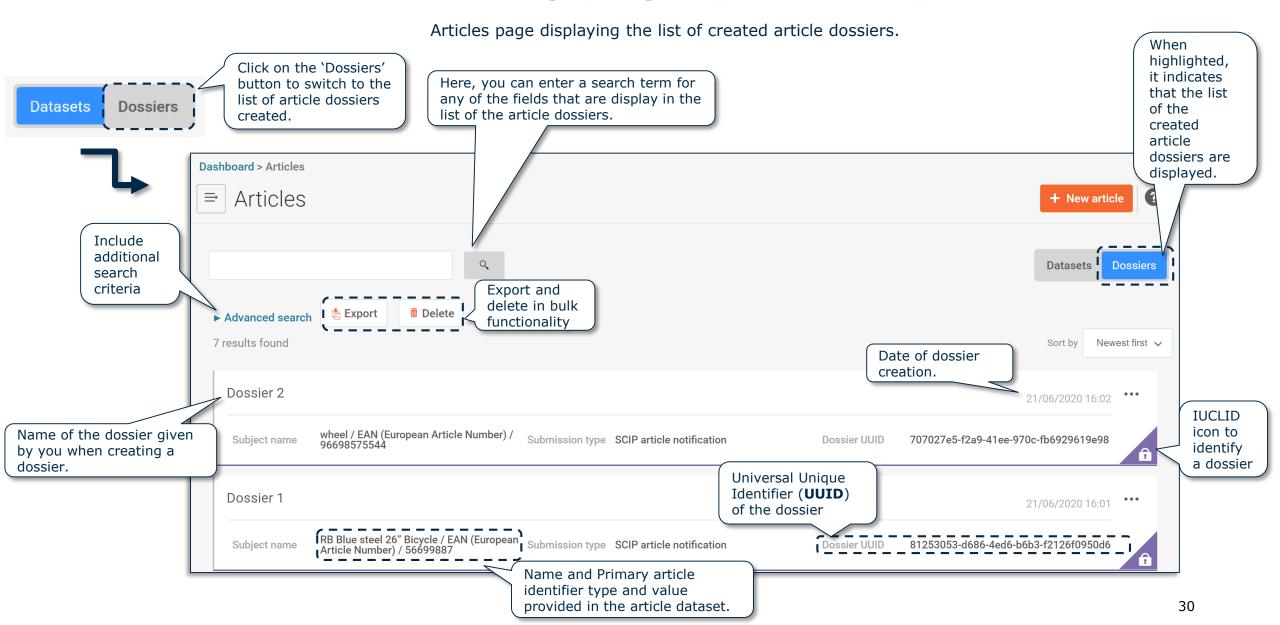
Logic search: "Or"
Searching for datasets that contains either X or Y.

When de user selects more than one substance in the concern element the system will provide all the dataset that include one substance or the other substance or both substances.



Article landing page (dossiers)

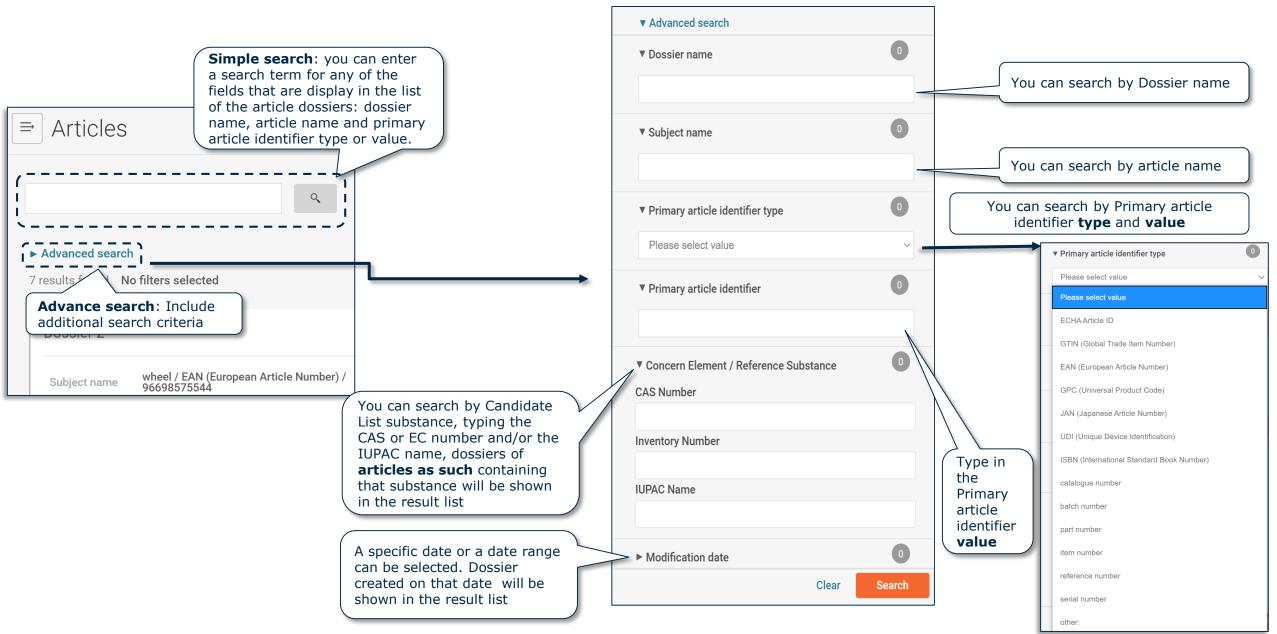






DOSSIER: Advance search (I)









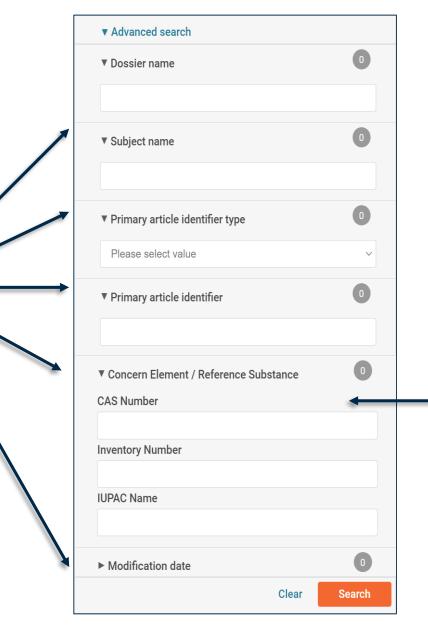
DOSSIER:

Advance search (II)

Logic search: "AND"

Searching for dossiers that contains X and Y.

When the user use more than one filter from different sections the system will provide dossiers that match with all the criteria selected.



Logic search: "Or" Searching for dossiers that contains either X or Y.

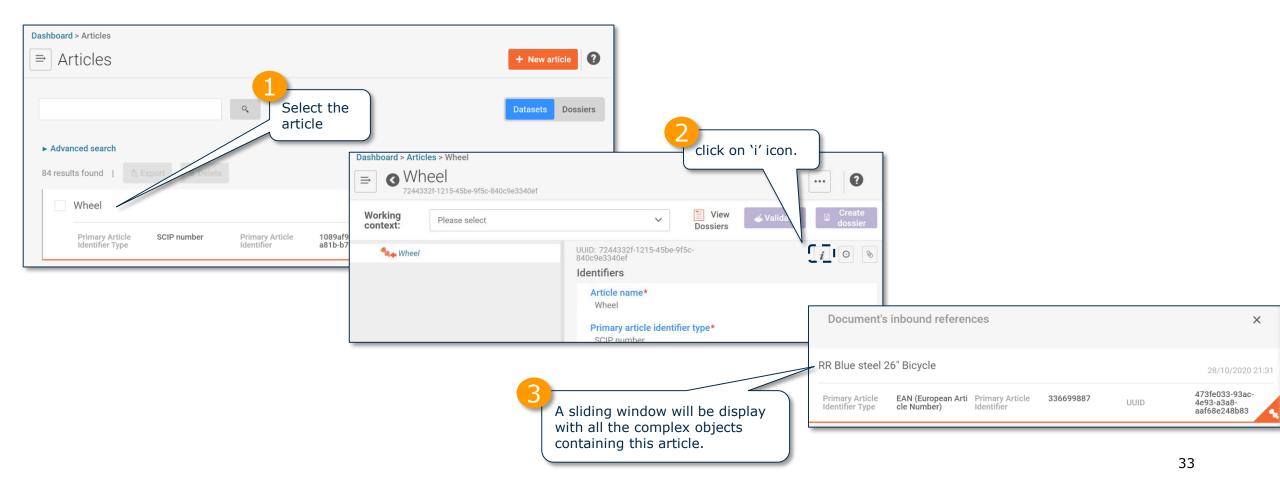
When de user selects more than one substance in the concern element the system will provide all the dossiers that include one substance or the other substance or both substances.





Document's inbound reference

In case you need to know what are the direct complex objects that include a specific article or complex object as a component you can use the inbound reference feature.







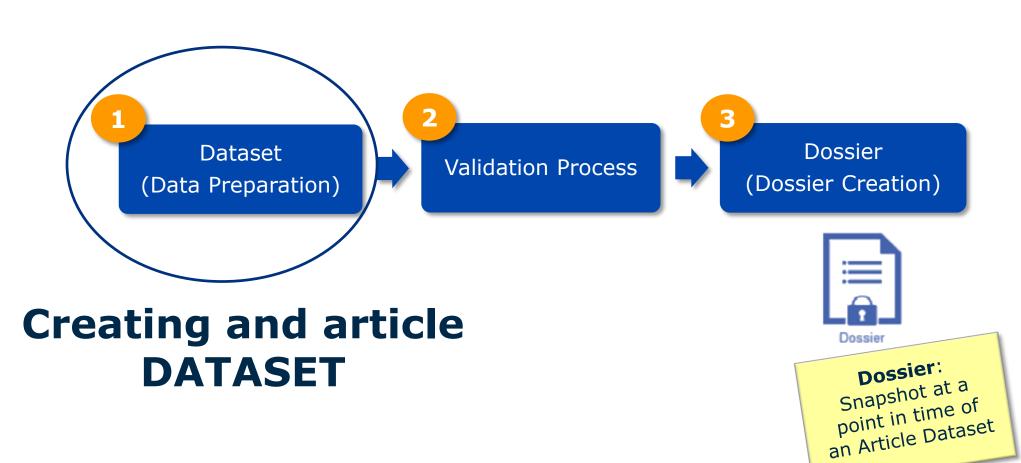
Create dataset

This chapter describes the functionalities to create a dataset





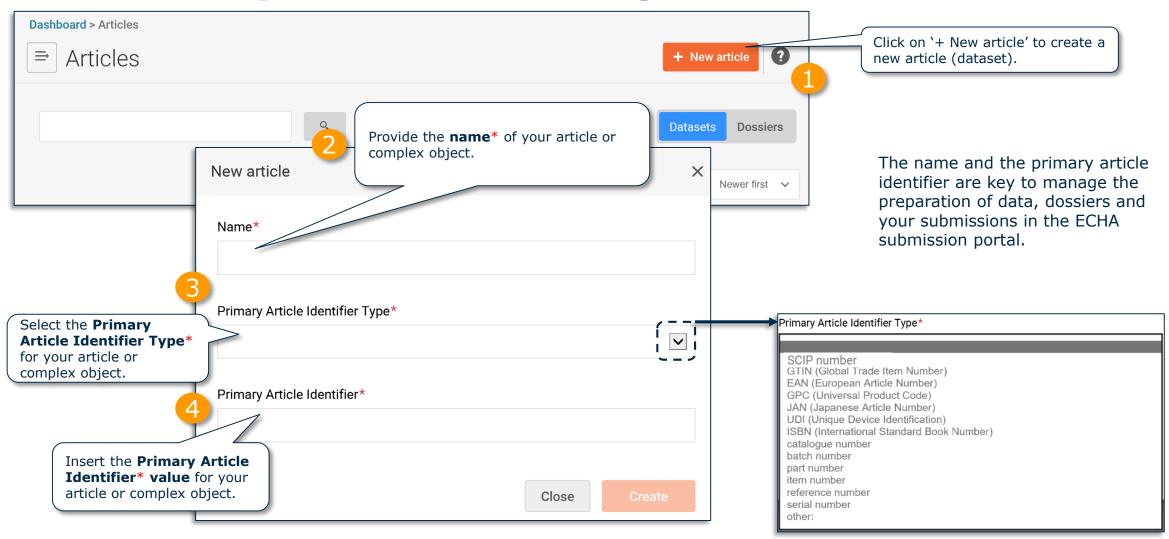
Dossier preparation overview (IUCLID)







Data Preparation: creating an Article dataset

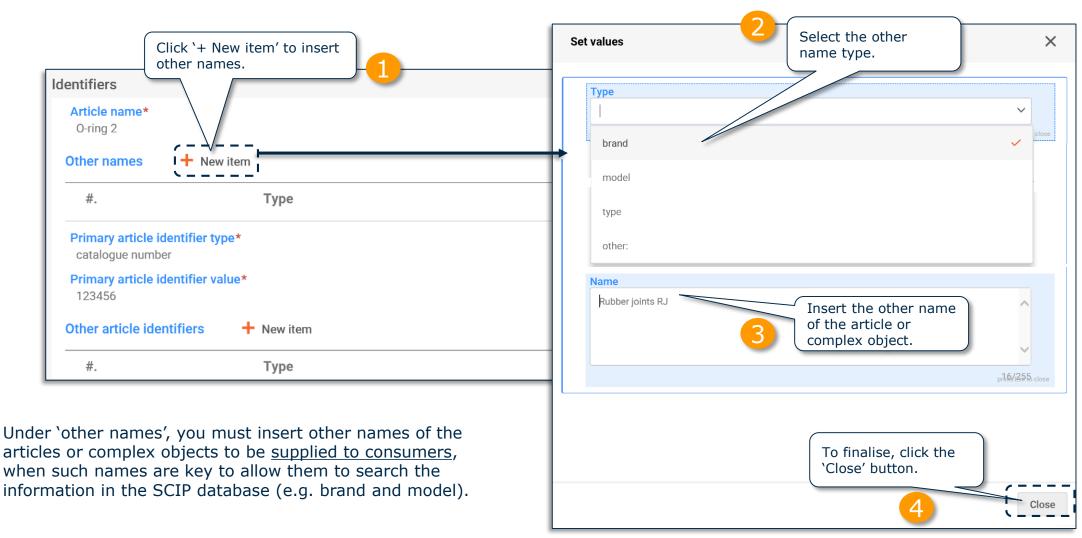


Note: For further information about 'article' definition and 'complex object' concept, please consult the Guidance on Requirements for Substance in Articles.



Data Preparation: Identifiers (I)



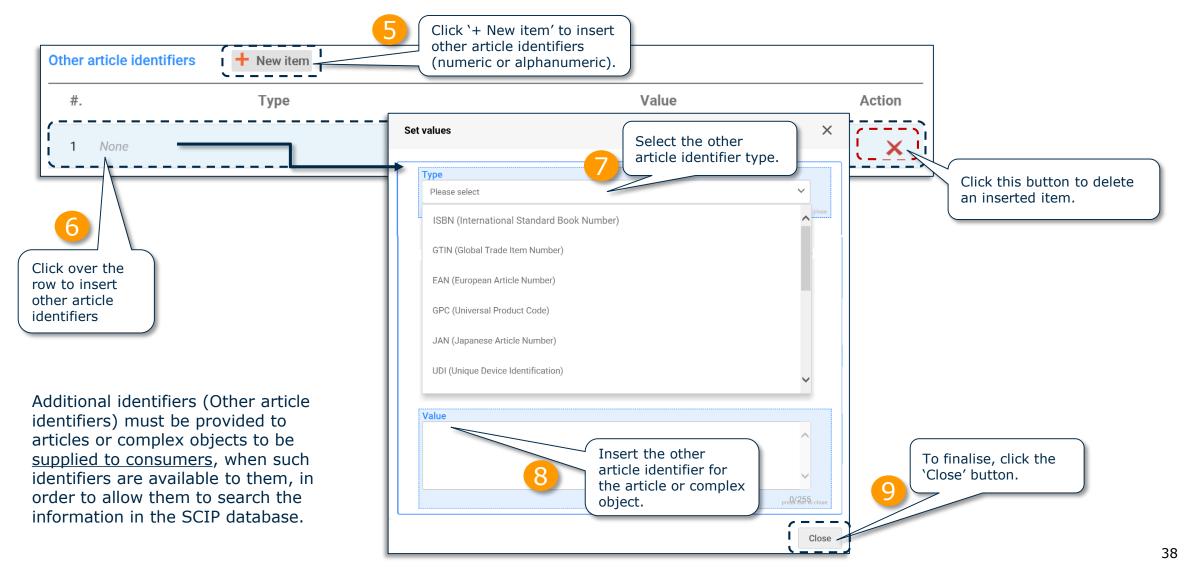


Note: For further information about the information requirements, please refer to *Information Requirements for the SCIP Database* document.





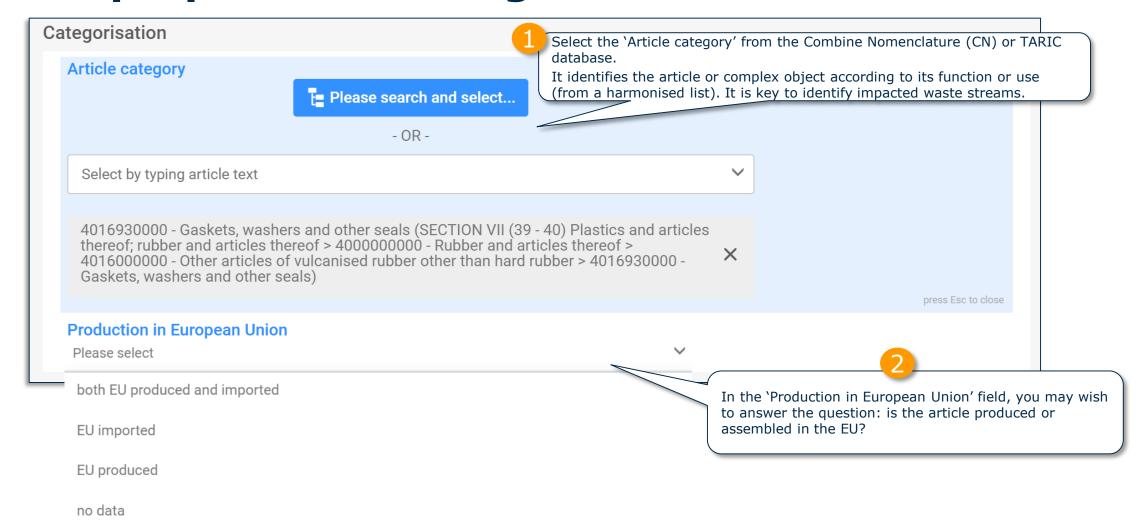
Data preparation: identifiers (II)







Data preparation: Categorisation

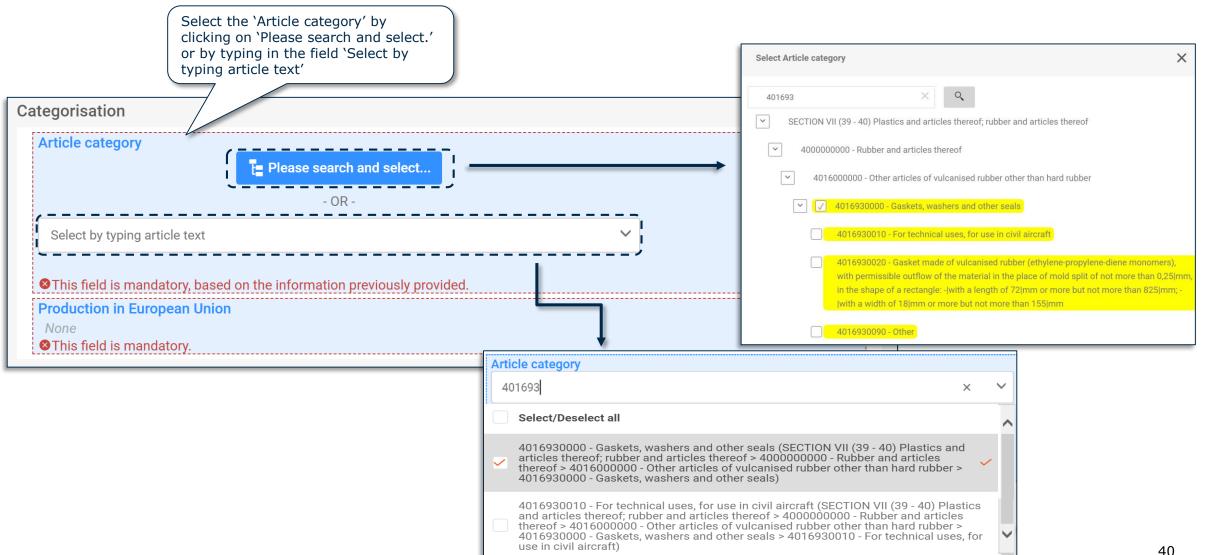


Note: For further information about the article category, please refer to Requirements for SCIP notifications document, available at https://echa.europa.eu/scip-support.





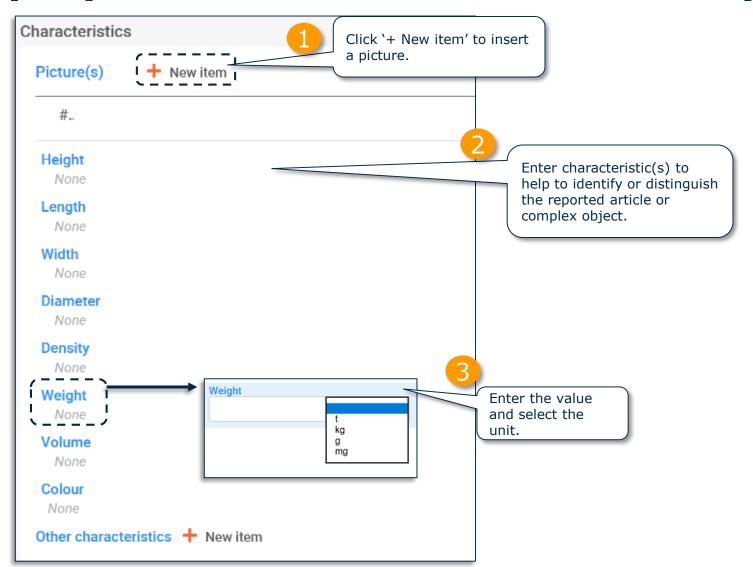
Article Category







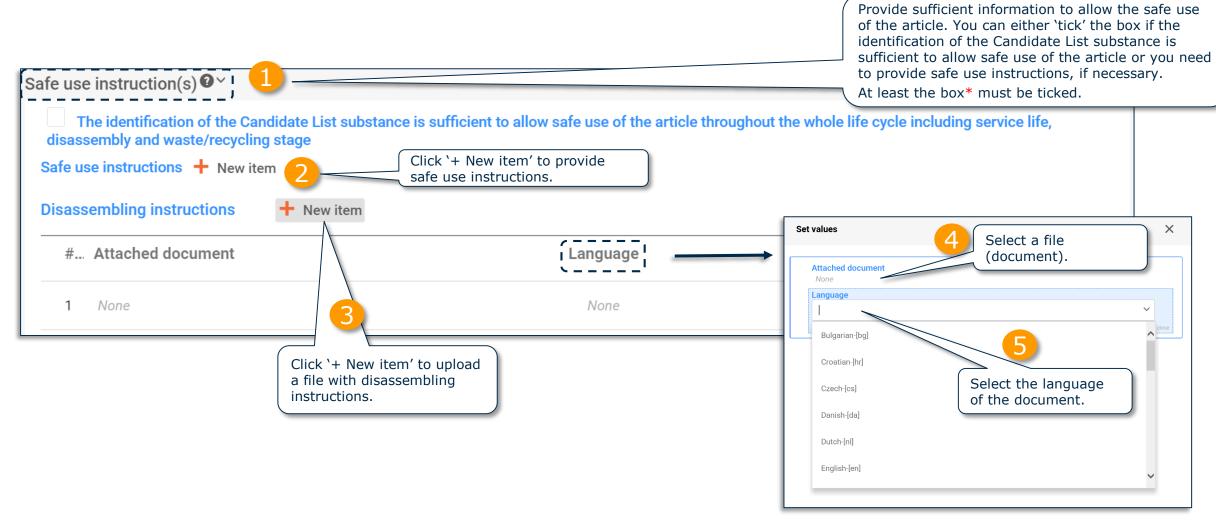
Data preparation: characteristics and pictures







Data preparation: safe use instruction(s)



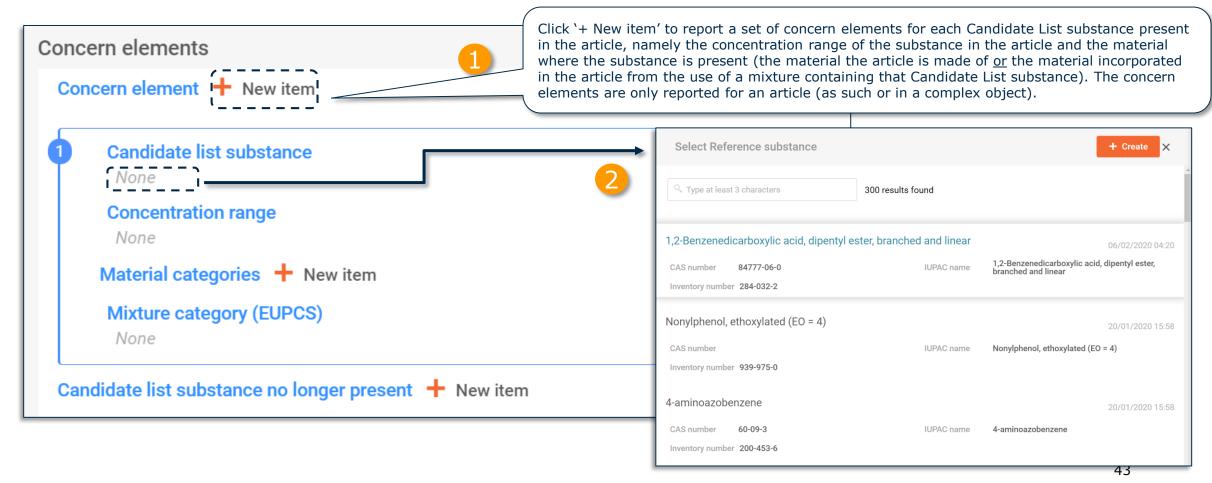




Data preparation: article and 'Concern elements' (I)

When creating a dataset of an article as such you must report the information about the Candidate List substance under the 'Concern elements' section.

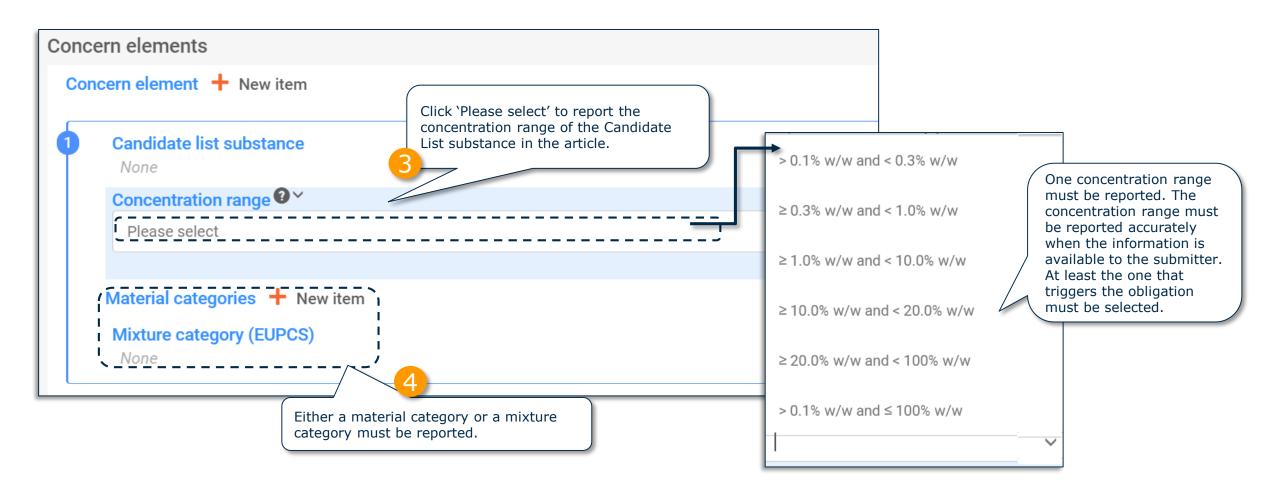
By definition, a dataset for an article as such does not display the 'Complex object component(s)' section.







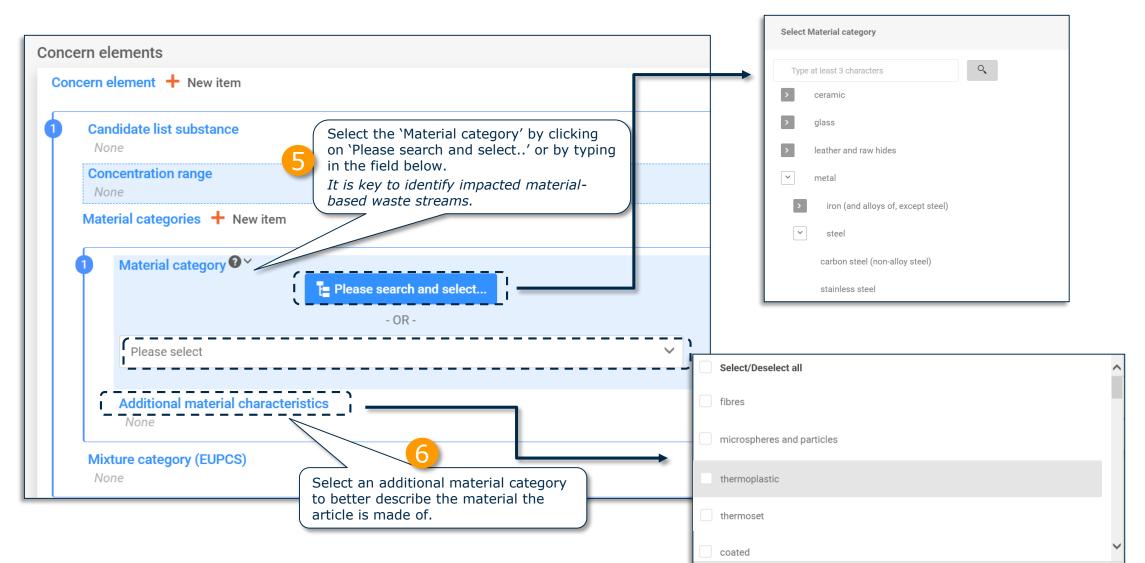
Data preparation: article and 'Concern elements' (II)







Data preparation: article and 'Concern elements' (III)







Data preparation: article and 'Concern elements' (IV)

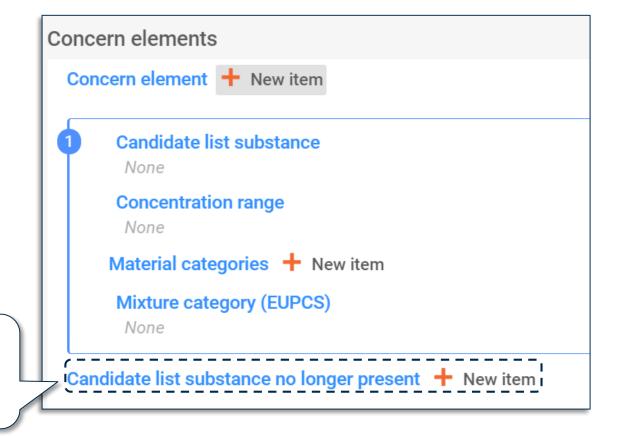
Select the mixture category from the European product categorisation system (EuPCS), which describes the mixture with the Candidate List substance incorporated in a further processing step of the article (e.g. coating, adhesive, solder).

EuPCS webpage: https://poisoncentres.echa.europa.eu/eu-product-categorisation-system Select Mixture category (EUPCS) Concern elements Type at least 3 characters Concern element + New item F Mixtures for further formulation P Products Candidate list substance PC Chemical products (excludes biocidal products) None PC-ADH Adhesives and sealants Select the 'Mixture category' by Concentration range clicking on 'Please search and PC-ADH-1 Adhesives and sealants - household, office or school use None select..' or by typing in the field below. PC-ADH-2 Adhesives and sealants - building and construction works (except cement based Material categories + New item adhesives) Mixture category (EUPCS) (EVPCS) PC-ADH-3 Adhesives and sealants - footwear and leather goods Please search and select Select/Deselect all F Mixtures for further formulation PC-ADH-1 Adhesives and sealants - household, office or school use (P Products > PC Chemical products (excludes biocidal products) > PC-ADH Adhesives and sealants > PC-ADH-1 Adhesives and sealants - household, office or school use) PC-ADH-2 Adhesives and sealants - building and construction works (except cement Candidate list substance no longer present + New item based adhesives) (P Products > PC Chemical products (excludes biocidal products) > PC-ADH Adhesives and sealants > PC-ADH-2 Adhesives and sealants - building and construction works (except cement based adhesives))





Data preparation: article and 'Concern elements' (V)



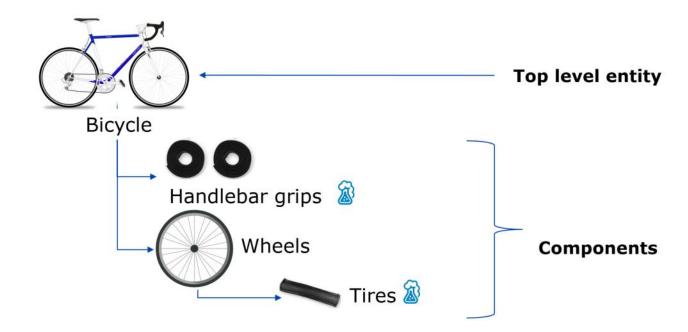
This field is relevant if you need to update your notification to declare that an already notified article used to contain a Candidate List Substance, no longer does contain this substance. This could happen due to different reasons, for instance due to substitution of the substance by a safer alternative.





Data preparation: complex object component(s) (I)

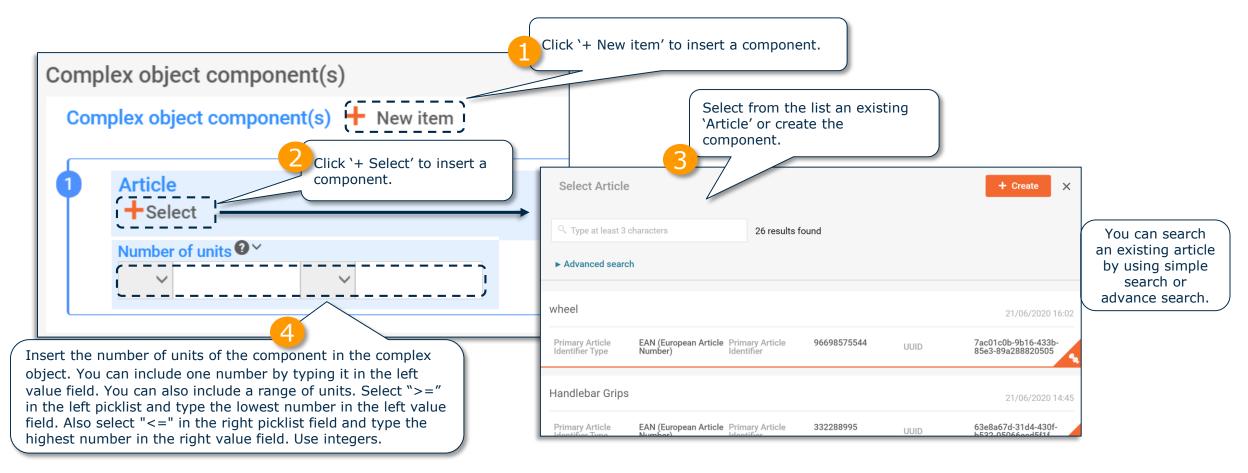
If you are reporting a complex object, you must link the components (either an article containing a Candidate List substance or a complex object (incorporating a component with a Candidate List substance).







Data preparation: complex object component(s) (II)

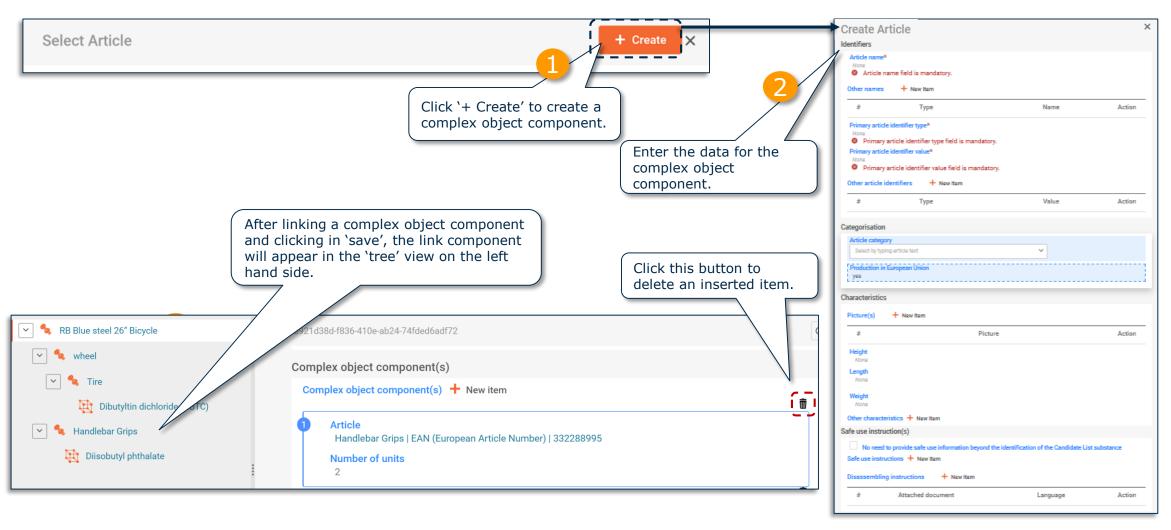


After selecting or creating a complex object component, the 'Concern elements' section does not appear anymore for the complex object. By definition, a dataset for a complex object does not display the 'Concern elements' section.





Data preparation: complex object component(s) (III)



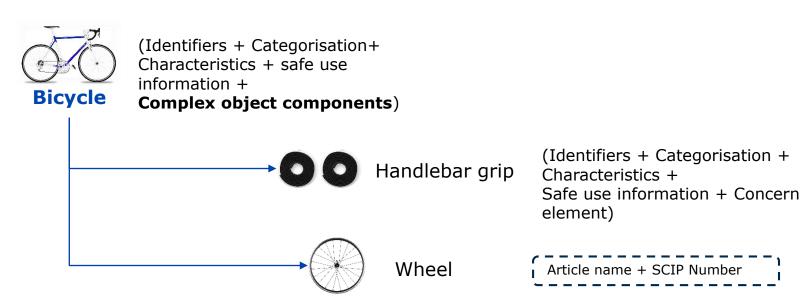




'Referencing' in a SCIP dossier

ECHA has developed a feature to allow to refer to SCIP data already submitted to ECHA. 'Referencing' can be used to prepare the IUCLID dataset for the creation of a SCIP dossier of a complex object and allow to introduce the information of a complex object component by reporting a SCIP number which refers to information already submitted to the SCIP database. For instance the SCIP number can be provided by the upstream supplier as an element of the information to be provided under REACH Art. 33(1).

Target users of this option may be assemblers or complex object producers.

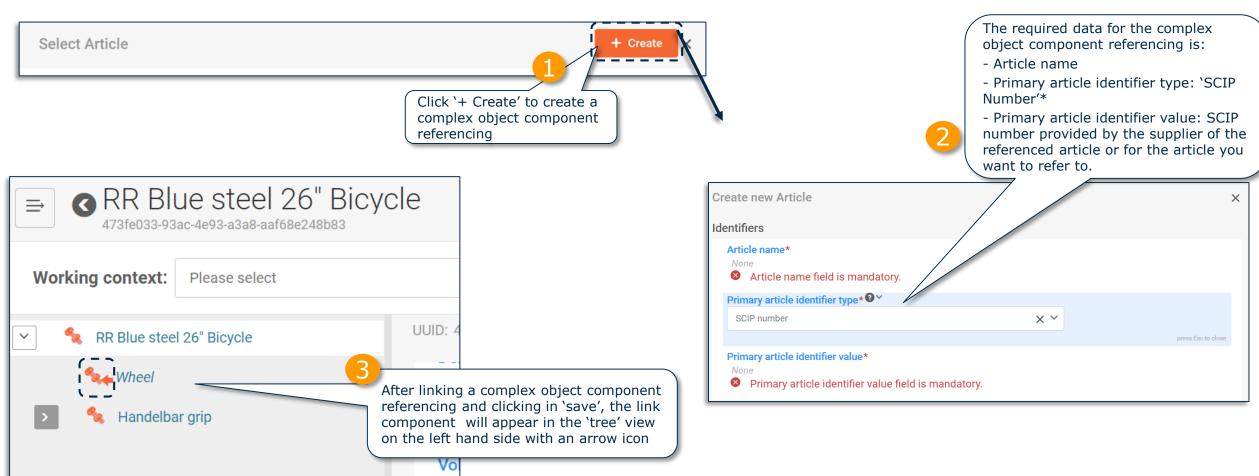


Note: For further information about 'Referencing', please refer to *Tools to refer to SCIP data already submitted to ECHA* available at the support section of the SCIP webpage.





complex object component(s) & 'Referencing'

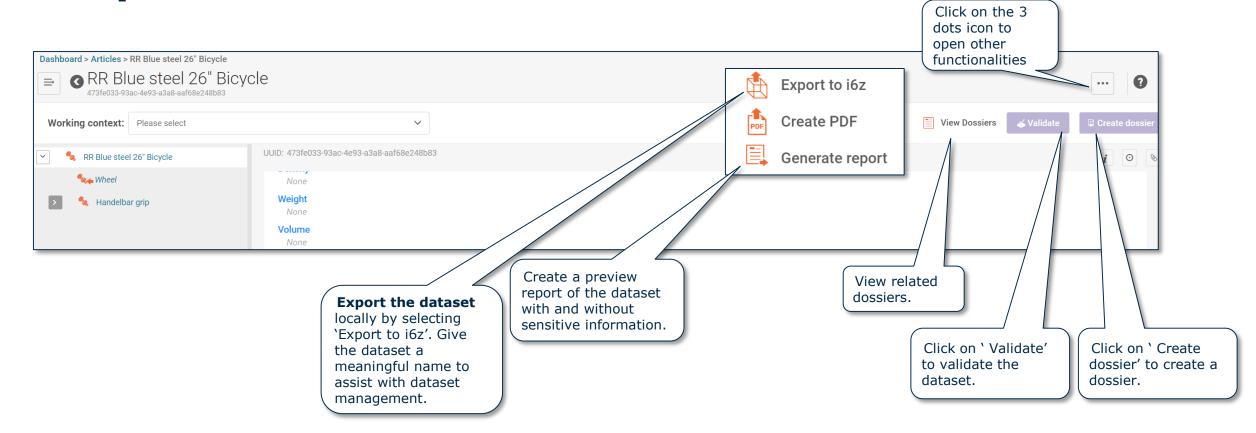


Note: For further information about 'Referencing', please refer to *Tools to refer to SCIP data already submitted to ECHA* available at https://echa.europa.eu/scip-support.





Export datasets and Other Functionalities







Validate information

This chapter describes the validation assistant functionality

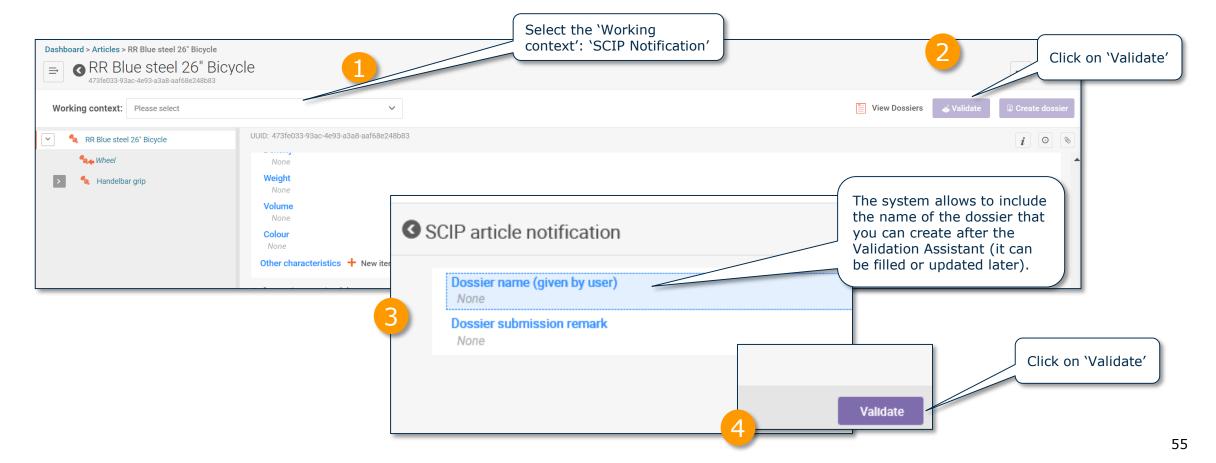


SCIP The Validation Assistant



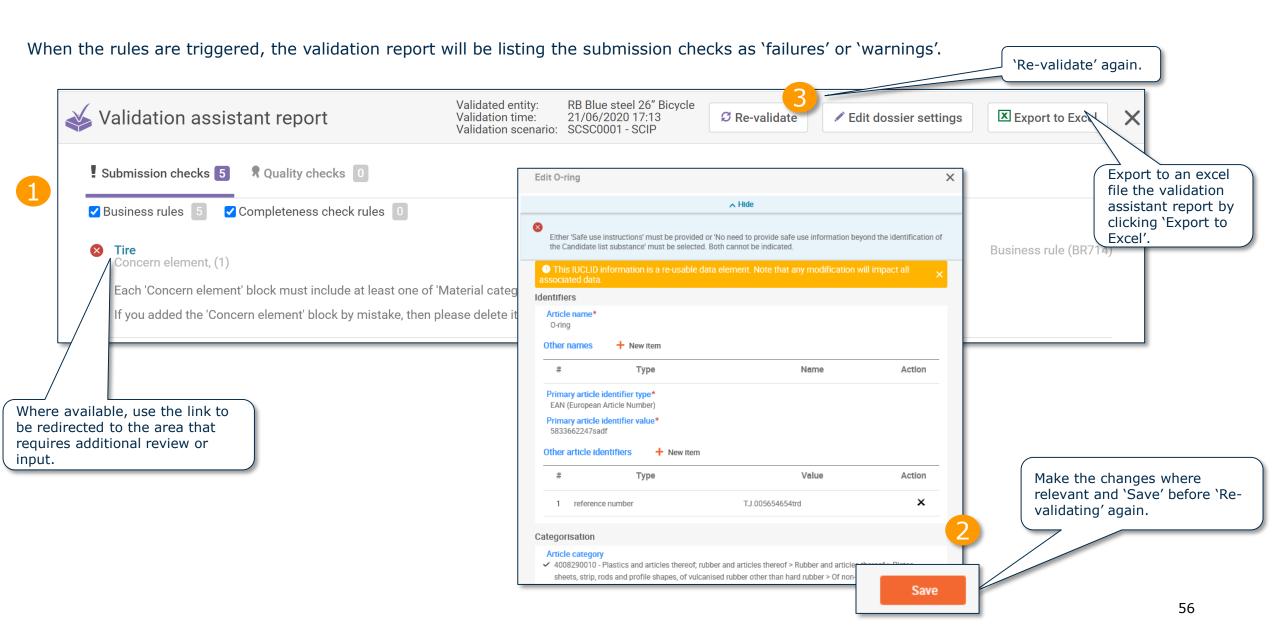
The validation assistant carries out checks according to a set of pre-defined rules to verify that the information was inserted as expected. The rules will determine if the dossier after being created will pass, pass with warnings or fail according to the rules in place.

The outcome of the validation is a report, which lists the rules (if any) for which the validation assistant was triggered. For a list of the validation rules, you may refer to the Validation rules for SCIP notifications document available in Support section of the SCIP webpage https://echa.europa.eu/scip-database











SCIP The Validation Report of a dataset



When no rules are triggered, the validation report will issue an additional note to highlight that additional checks are performed in the system upon submission.

*	Validation assistant report	Validated entity: Validation time: Validation scenario:	0-ring 14/01/2020 12:36 SCSC0001 - SCIP	Ø Re-validate	✓ Edit dossier settings	×
<u>!</u>	Submission checks Quality checks Quality checks					
В	usiness rules 0 Completeness check rules 0					1
	No business rule failures were detected by the Validation assistant. Please note that some of the business rules can be checked only at dossier or substance dataset, it cannot perform all the business rules checks that apply when the dossier is submitted to ECHA.	level. Also note that a	s the Validation assisti	ant verifies information	only within IUCLID dossier]
			To go bao article cli			





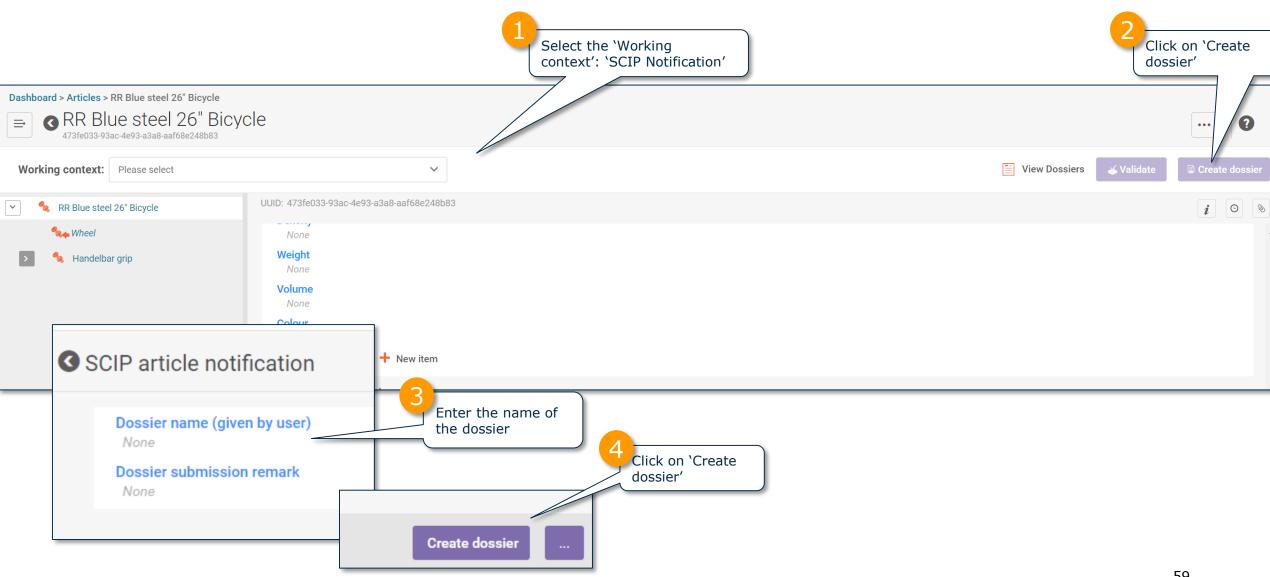
Create dossier

This chapter describes the functionalities to create a dossier



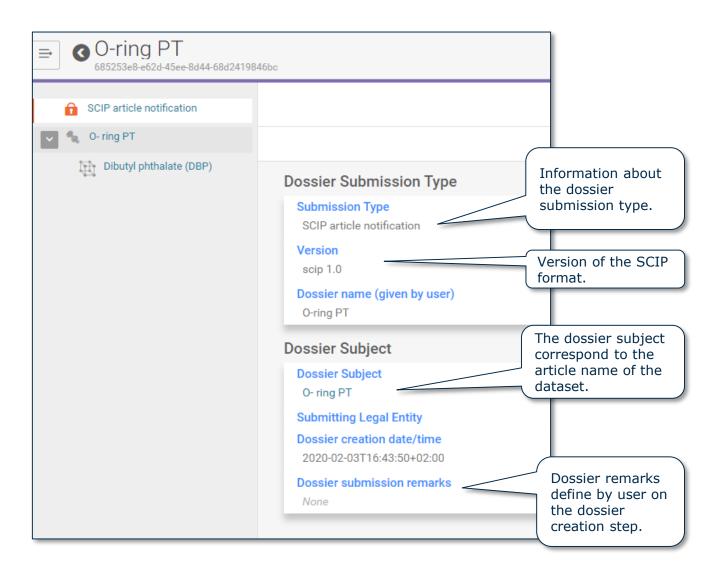
SCIP Create the Dossier







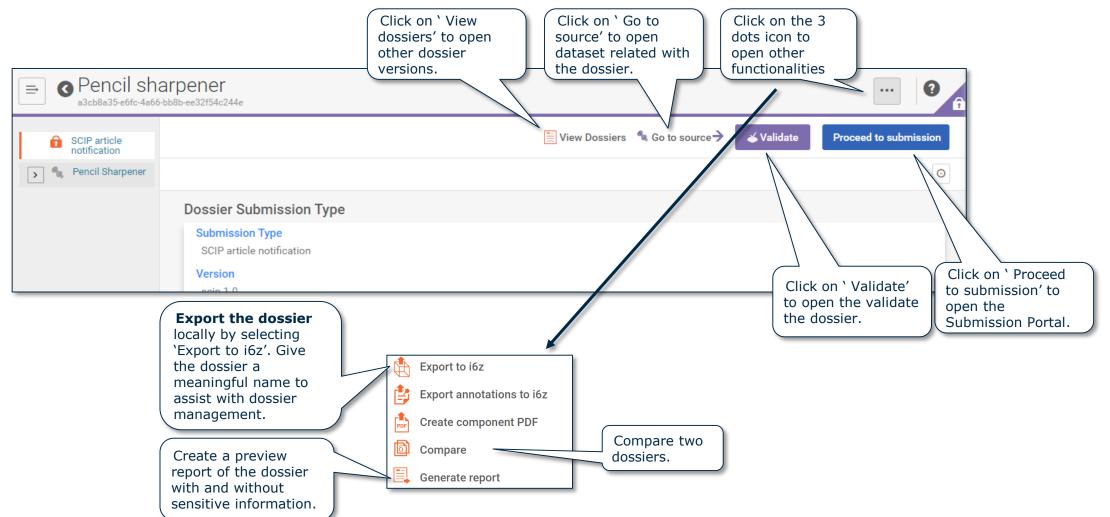








Export Dossiers and Other Functionalities







Submit a SCIP notification

This chapter describes how to submit a SCIP notification

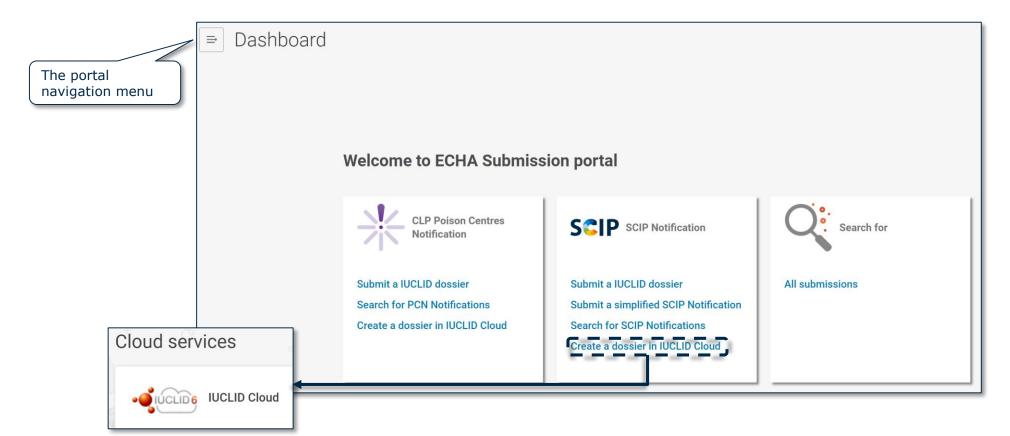




ECHA Submission Portal

The ECHA Submission Portal is an online tool to submit information according to the harmonised format.

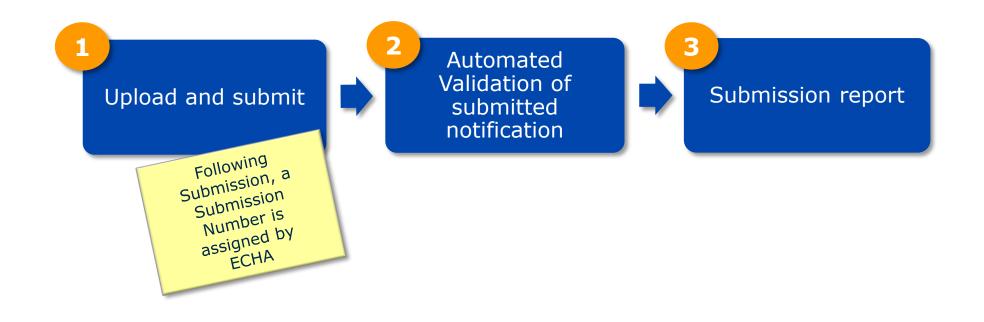
Once you have successfully logged into the system, the **ECHA Submission Portal landing** page opens.







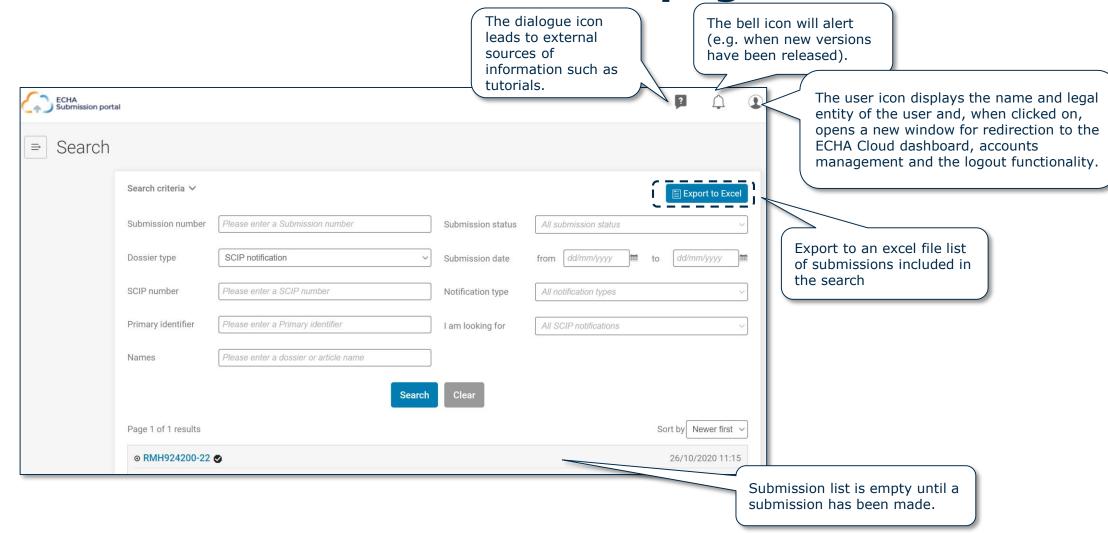
SCIP notification submission process overview (Submission portal)







ECHA Submission Portal Search page

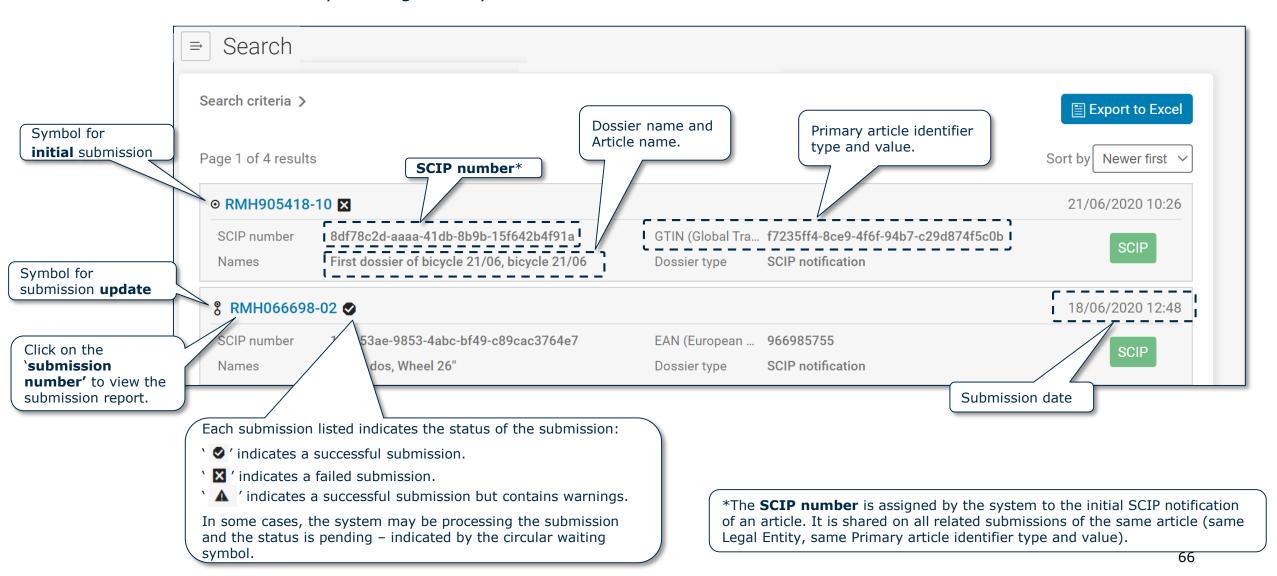




SCIP ECHA Submission Portal Search Page



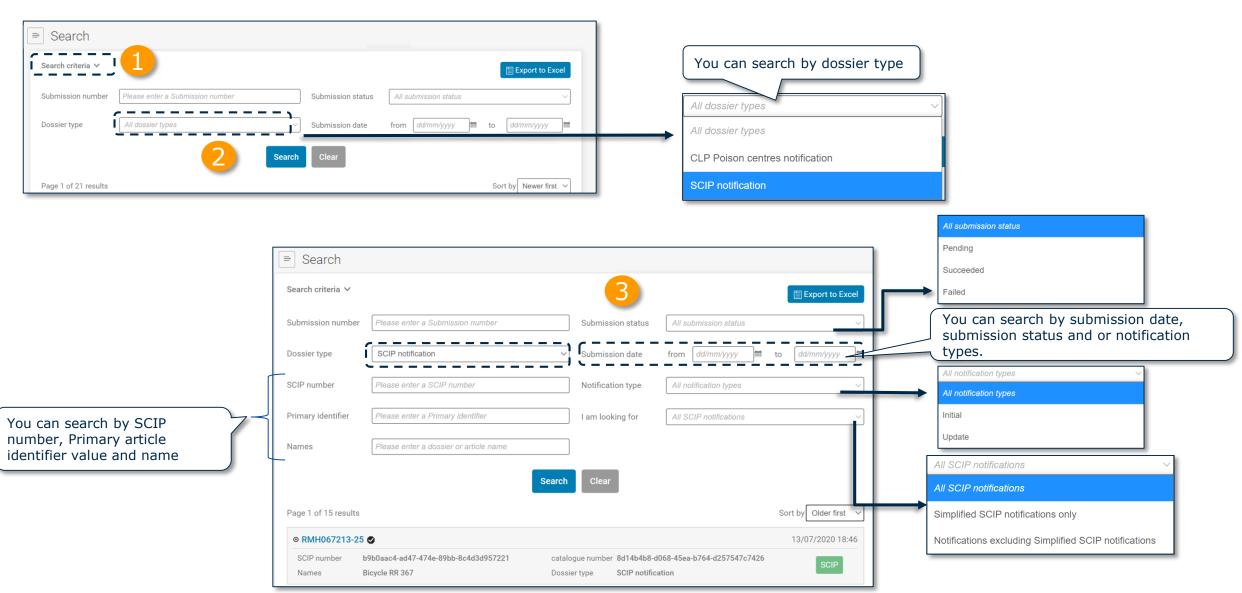
All the submissions made by the Legal Entity will be listed here.





Search criteria



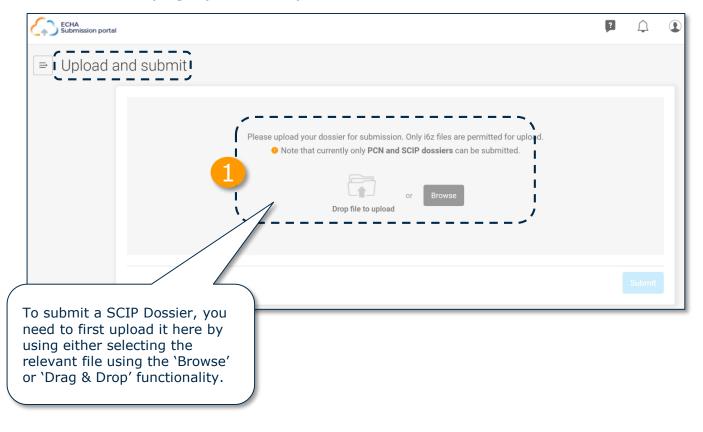


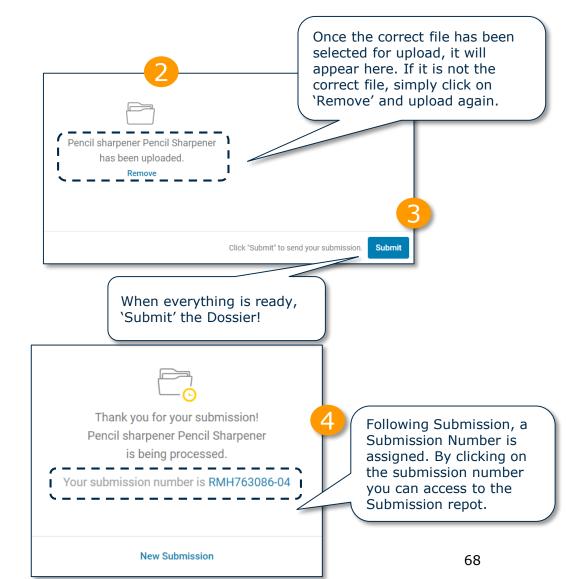


ECHA submission portal Upload & Submit



From this page you can upload and submit a SCIP notification.







Submission Report (I)

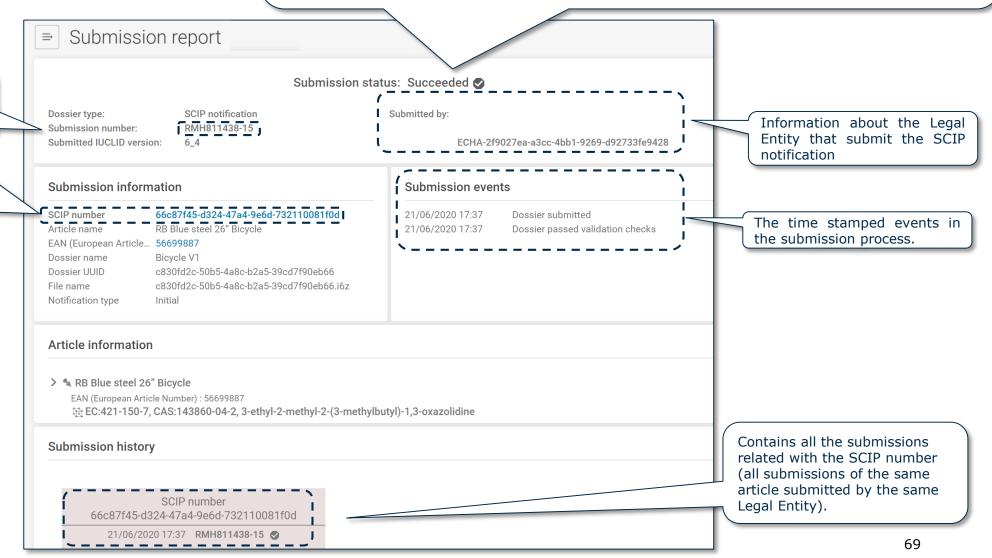
Validation succeeded - the submission has passed the validation checks and the dossier has been forward to the SCIP database.

Validation succeeded! - the submission has passed the validation checks with warnings. A validation report listing potential deficiencies will be available for the submitter.

Validation failed X - the dossier has failed the validation checks and has not been forwarded to the SCIP database. A validation report listing the deficiencies is available for the submitter and a new submission must be made.

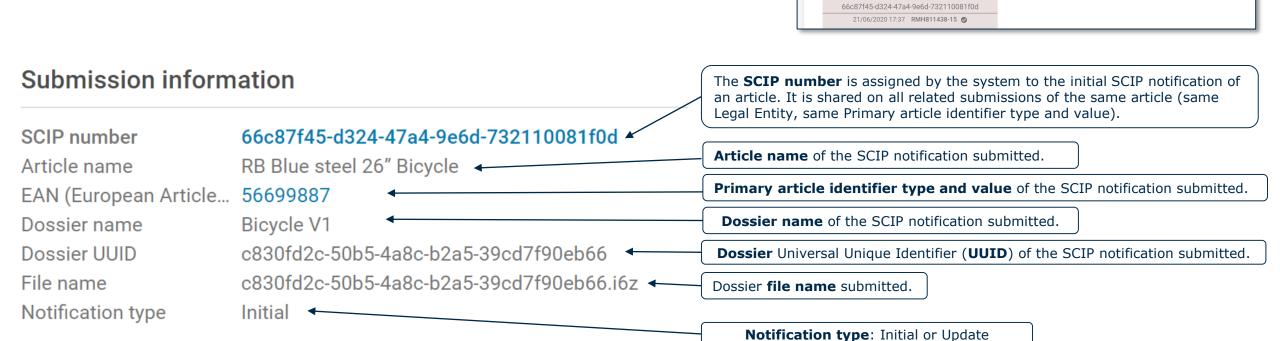
The **submission number** is automatically assigned by the system to each submission.

The **SCIP number** is assigned by the system to the initial SCIP notification of an article. It is shared on all related submissions of the same article (same Legal Entity, same Primary article identifier type and value).





Submission Report (II): Submission information



⇒ Submission report

Dossier type:

Submitted IUCLID version:

EAN (European Article,

Article information

Submission history

> % RB Blue steel 26" Bicycle

EAN (European Article Number) : 56699887

Dossier UUID

SCIP notification

66c87f45-d324-47a4-9e6d-732110081f0d

c830fd2c-50b5-4a8c-b2a5-39cd7f90eb66 c830fd2c-50b5-4a8c-b2a5-39cd7f90eb66.i6z

RMH811438-15

RB Blue steel 26" Bicycle

56699887

Submission status: Succeeded 🐼

Submitted by

Submission events

21/06/2020 17:37

21/06/2020 17:37

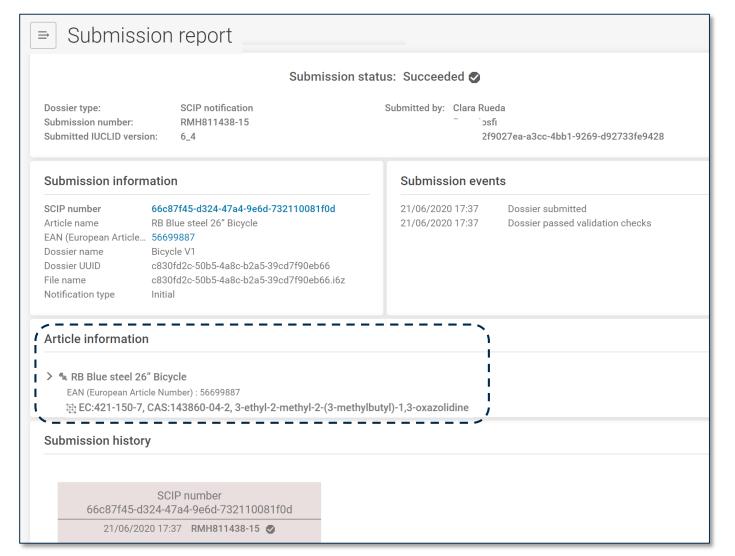
ECHA-2f9027ea-a3cc-4bb1-9269-d92733fe9428

Dossier passed validation checks





Submission Report (III): Article information



The article information contains:

- The name of the article
- The primary article identifier type and value
- The Candidate List substance reported in the notification.

Please note that the Candidate List substance included in this report is the final information included in the SCIP database.

If you received the warning message: "The provided 'Reference substance' has conflicting identifiers." it is recommend to check that the Candidate List substance included in the submission report corresponds to the substances submitted in your SCIP notification.

Please note that any Candidate List substances included in your notification via 'Referencing' are not displayed in this section.

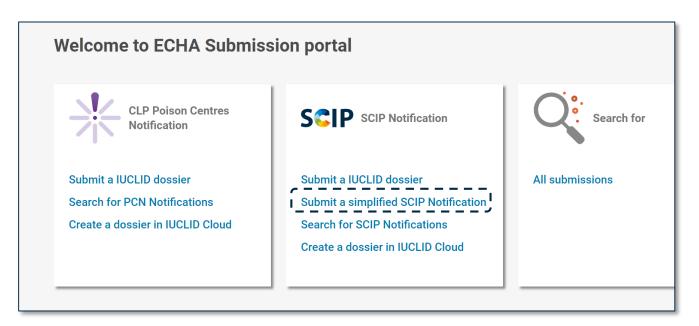




Simplified SCIP notification (SSN)

Is a tool developed by ECHA to facilitate the fulfilment of the SCIP notification obligation by actors within the supply chain, for instance by distributors (who are not importers) within the same supply chain, without preparing an IUCLID dossier.

- It is only applicable if the article/complex object received is the same as the one supplied.
- The recipient of the article can refer to information already submitted to ECHA (e.g by the upstream supplier) by submitting the provided SCIP number through the ECHA Submission Portal.
- By submitting a SSN the recipient of the article is linking the SCIP notification content to the notification, and its updates, of the notification that is referring to (e.g. the SCIP notification of the upstream supplier).



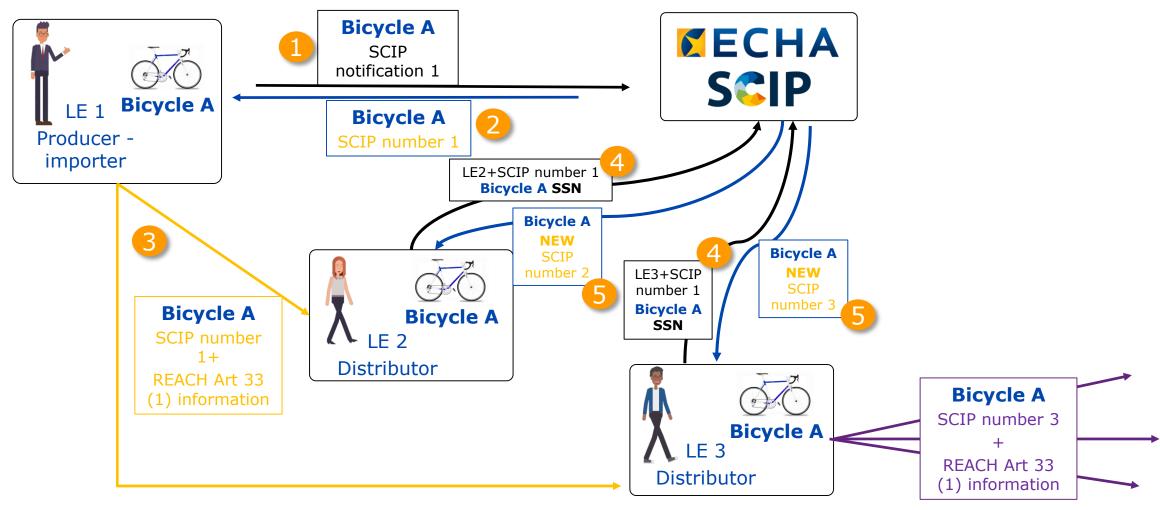
Note: For further information about SSN, please refer to *Tools to refer to SCIP data already submitted to ECHA* available at the support section of the SCIP webpage.





How does simplified SCIP notification works?

The necessary sequential steps are listed and explained with the support of an hypothetical example (bicycle).







Step 1 & 2

An actor in the supply chain (e.g producer, importer or assembler) of an article or complex object submits a SCIP notification dossier to ECHA



SCIP notification 1

Bicycle A

SCIP number 1

As a result of a successful submission, the submitter receives from ECHA a SCIP number for the article as such or complex object.

Submission report



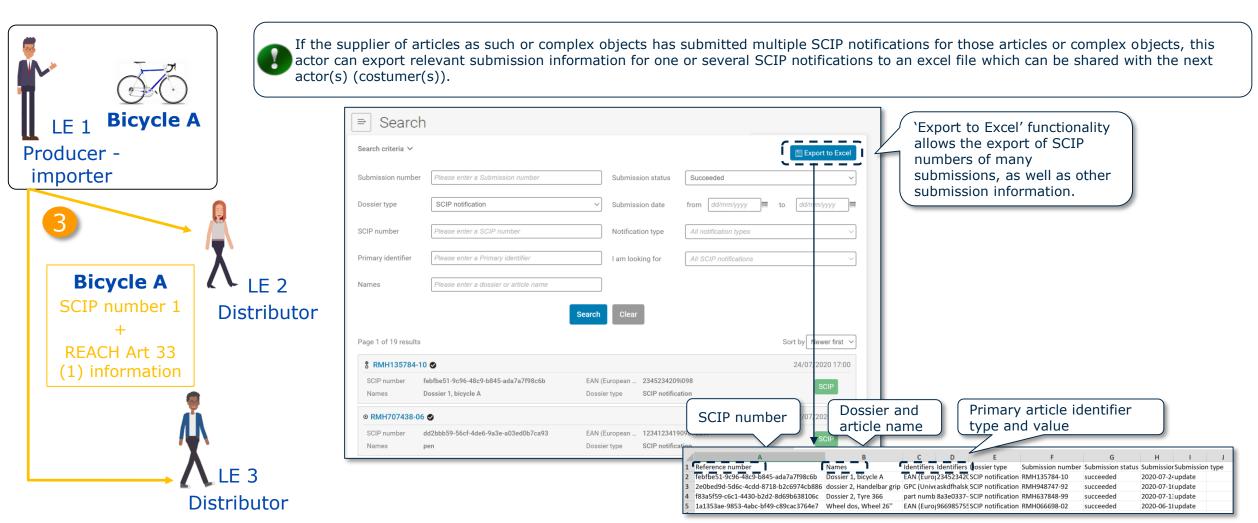






Step 3: Share SCIP number

The supplier of an the article as such or complex object (e.g. producer, importer or assembler) provides the SCIP number received in the SCIP notification report to costumers, as part or together with the information communicated down the supply chain under REACH Art. 33(1).

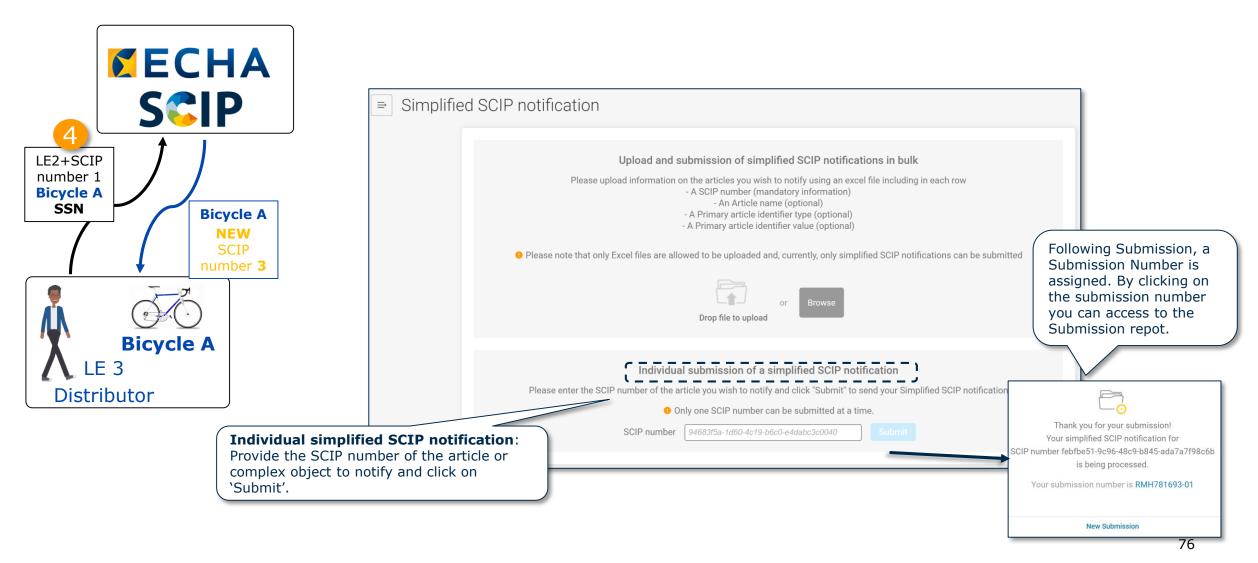




Step 4: Simplified SCIP notification



The Legal entity can either submit an **individual** simplified SCIP notification (SSN) by providing one SCIP number or can submit more than one SSN in one step **(notification in bulk)** by providing an excel file including the list of SCIP numbers to notify.



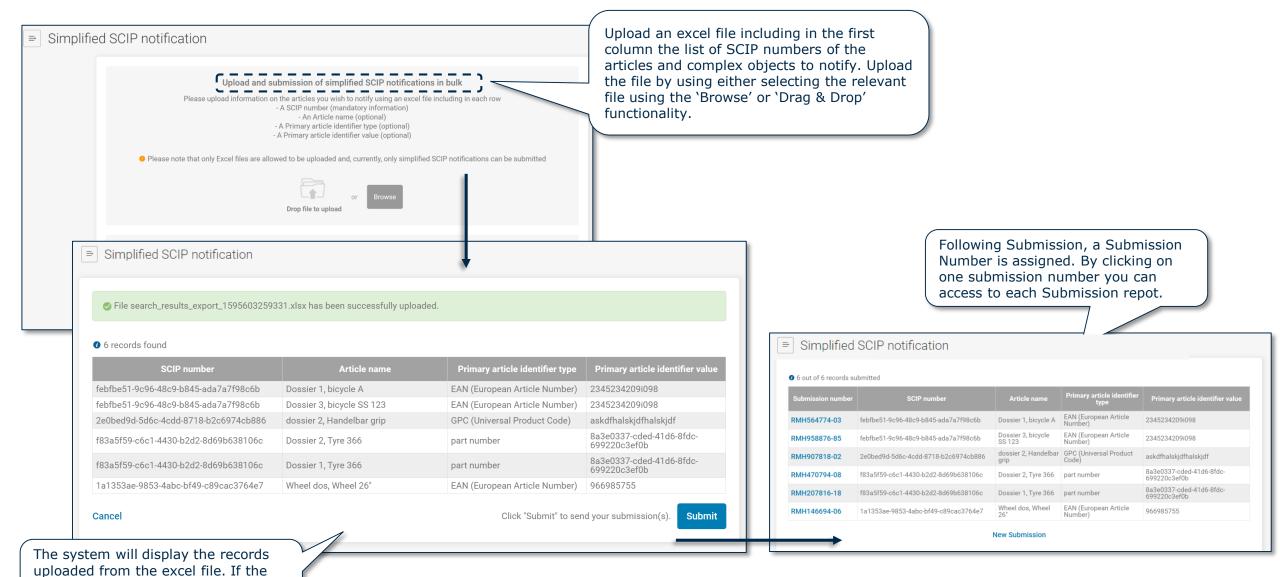


list includes the correct values click

on 'Submit'.

Simplified SCIP notification in bulk



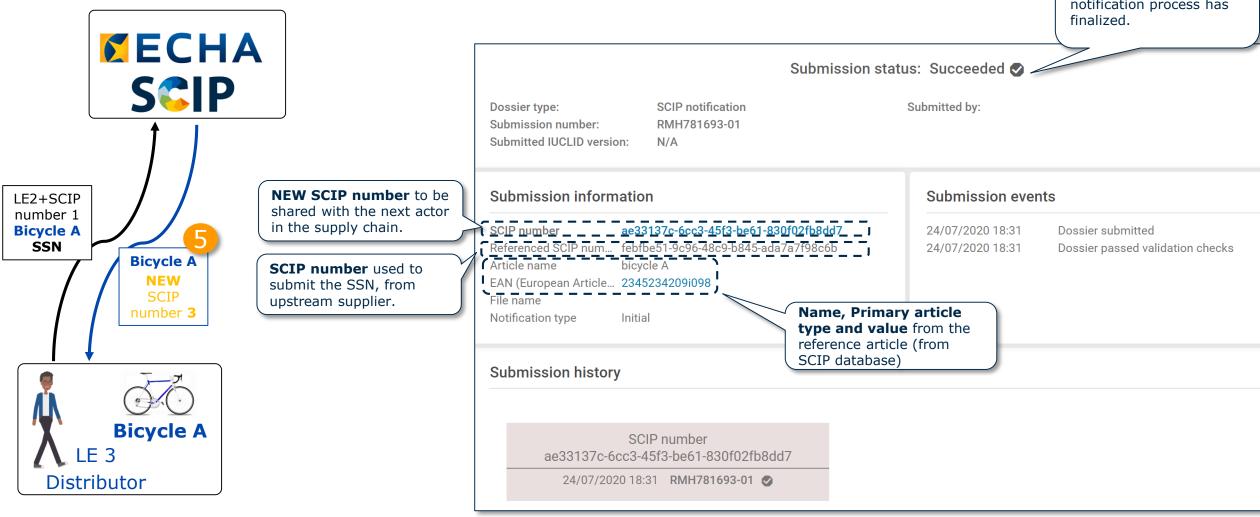






Step 5: Submission report, new SCIP number

If the submission status is succeeded the SCIP notification process has finalized.







Updating Information on the SCIP Database

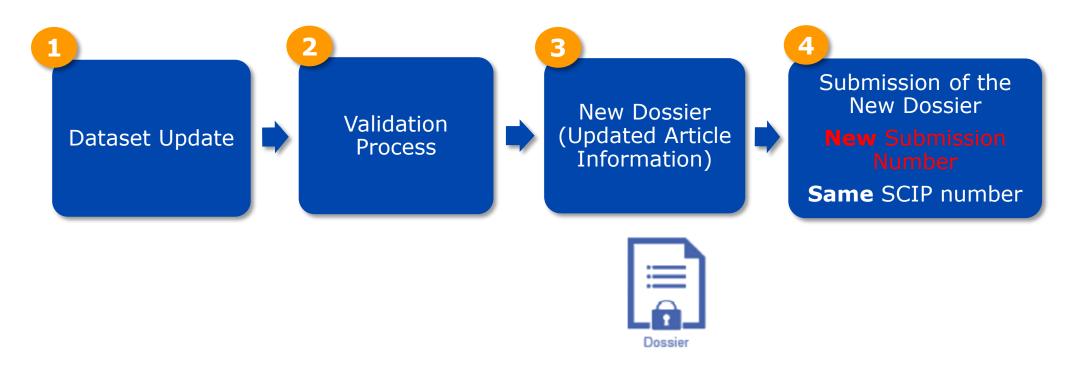
If the SCIP information of an article needs to be updated, you can edit the information included in the dataset of the article and create an new dossier. The primary article identifier in the dossier needs to remain the same.

The submission, by the same Legal entity, of this new dossier with the same primary article identifier will be received as an **update of the SCIP notification** of this article or complex object.





SCIP Notification Update Process Overview



Important:

The new Dossier must keep the same Primary Article Identifier to create an Update of the SCIP Notification.





Disclaimer

This document aims to assist users in complying with their obligations under Article 9(1)(i) of the Waste framework Directive (WFD). However, users are reminded that the text of the WFD is the only authentic legal reference and that the information in this document does not constitute legal advice. Usage of the information remains under the sole responsibility of the user. The European Chemicals Agency does not accept any liability with regard to the use that may be made of the information contained in this document.